

# Semantics of Multiway Dataflow Constraint Systems

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## Abstract

*Multiway dataflow constraint systems* (MDCS) is a programming model where statements are not executed in a predetermined order. Rather, individual methods are selected from specific method sets and then executed to achieve a desired global state. The selection is done by a *planner*, which typically bases the choice of methods on the history of updates to the global state. MDCS is well suited for describing user interface logic where choosing what code to execute depends in complicated ways on the history of user interactions and on data availability. User interfaces are the domain of examples in this paper.

Much of the research into MDCS has been on planning algorithms and their efficiency. Here we investigate a semantic setting for MDCS, introducing *dataflow constraints* as modules with explicit goals and related method sets. MDCS is defined in a similar manner, with an explicit goal and a set of supporting dataflow constraints. This enables verification and testing of methods and dataflow constraints against the goals. The exposition is based on abstract syntax for an idealised programming language with global variables. On top of this we define a modular reuse mechanism for dataflow constraints based on Goguen-Burstall *institution theory*. We show how this setup enables reuse in user interfaces; traditionally code that defines user interface logic is almost invariably non-reusable.

*Keywords:* dataflow constraint systems, institutions, reuse, graphical user interfaces, module system, verification and testing

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## 1. Introduction

A *dataflow constraint* describes a relation amongst variables and means to satisfy that relation. The latter is a set of functions, *constraint satisfaction methods*, that compute values for some of the relation's variables, using others as input. A collection of dataflow constraints to be satisfied simultaneously is a (*dataflow*) *constraint system*. There are many variations of dataflow constraints and constraint solving algorithms [1, 2, 3, 4]. The one we focus on here is *multiway dataflow constraint systems* (MDCS), where information can flow in different directions between a set of variables.

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Our prior work on dataflow constraint solving include mechanisms for specializing fast solver programs when the constraint system is known statically [5] and a study of the properties of composing dataflows [6].

Dataflow constraints can increase the abstraction level of event-based programming: when programming with dataflow constraints, the programmer declares a dependency between variables but leaves the details of maintaining that dependency, what computations and assignments need to be performed and when, to the constraint system. The basic mode of operation is that whenever the value of a variable in a constraint system is modified (triggered by an event from outside of the system), the constraint solver computes a new variable valuation that satisfies all constraints in the system.

Applications of dataflow constraint systems include frameworks for graphical user interface (GUI) programming, where constraint systems are used for tasks such as layout and enforcing dependencies amongst user-modifiable values [7, 8, 9] (several contemporary JavaScript GUI libraries, such as *Angular*, *Knockout*, and *Backbone*, support simple forms of dataflow constraints); tools for collaborative work [10]; and spreadsheet applications. Dataflow constraint systems have also been integrated to general purpose host languages to be applied for varied programming tasks [11, 12].

This paper investigates the semantical underpinnings of constraint systems. Our goal is to provide a formal framework for reasoning about constraint systems and their compositions. The main contributions of this paper are based on a perspective on constraint systems as a programming model:

- A simple programming language with an abstract syntax normal form corresponding to multiple assignment.
- Integrated specifications allowing verification and testing.
- A module system for reuse of components based on variable substitution.
- Additional module combinators corresponding to the logical connectives  $\&$ ,  $|$  and  $\Rightarrow$  (conjunction, disjunction and implication) with most distributivity properties intact.
- Rules for flattening module expressions.

Our semantics assumes an underlying programming language for code that computes variable valuations that satisfy individual constraints, but is completely independent of the choice of built-in types and primitive operations of that language.

The paper introduces quite a few definitions. On the one hand they define the semantics of constraint systems, which we apply in examples drawn from the domain of GUIs. On the other hand they build towards fitting constraint systems into the institution [13] notion. Institutions are a theoretical framework for understanding model-based logic, but they can also be used to explore metalevel design principles for programming languages, such as modular reuse mechanisms. Our semantic foundation is based on algebraic specification theory; see the book [14] for a comprehensive introduction. The specification language CASL [15], designed around the institution notion, and tool frameworks like Hets [16] show the flexibility that such a design offers. The history of algebraic specifications for computer science goes back to the 1970s, with

Initial Width	600	Initial Height	400
Absolute Width	900	Absolute Height	600
Relative Width	1.5	Relative Height	1.5
Keep aspect ratio <input checked="" type="checkbox"/>			

Figure 1: An example GUI implemented using a constraint system.

the ADJ group [17, 18] and what was to become the Larch group [19, 20] as some influential examples. The two books [21, 22] gave an important introduction to the field as of the late 1980s. The flexibility of algebraic specifications for reuse has been inspirational for our approach to MDCS semantics. Especially the role that institutions can play in reuse and modularisation has motivated our work.

The organization of the paper is as follows. As motivation, Section 2 describes how constraint systems appear in GUIs. It also introduces a simple constraint system used in examples later the paper. Section 3 defines the syntax and semantics of a multiple assignment programming language. Section 4 explores dataflow constraints and composition operators, the building blocks for multiway dataflow constraint systems. Section 5 relates the formalism to practical programming, applying the formalism to modeling constraint systems arising in GUIs. Section 6 gathers all the definitions and shows how dataflow constraints form an institution. Section 7 defines constraint systems with modular reuse. Section 8 summarises our findings.

## 2. Motivation: Constraint systems in GUIs

We have found that complex user interface behaviors that are typically programmed in the “event handling logic”, separately for each user interface, can be expressed as reusable algorithms when the state of a user interface is managed as a constraint system. Such behaviors include propagating values, enabling and disabling widgets, recording user interaction as scripts, visualizing and controlling the dataflow, and undo [23, 24, 25].

As an example, consider the small GUI in Figure 1. This GUI for resizing an image is a simplified version of dialogs found in many image manipulation applications. An image’s initial width and height are determined at the launch of the dialog. The user can specify a new width and height relative to the initial values or directly as the number of pixels. Further, the user can request that the GUI preserves the initial aspect ratio.

The variables are dependent on each other: changing the value of one triggers changes in others so that the GUI returns to a consistent state. These dependencies and the set of consistent states are expressed by the constraint system in Figure 2. The variables  $w_i$ ,  $w_r$ , and  $w_a$  are bound, respectively, to the initial, relative, and absolute width fields and  $h_i$ ,  $h_r$ , and  $h_a$  to the corresponding height fields. Figure 2a shows the three constraints in the system:  $c_1$  is for enforcing the relation  $w_a = w_i * w_r$ ,  $c_2$  the relation  $h_a = h_i * h_r$ , and  $c_3$  the relation  $w_r = h_r$ . The “Keep aspect ratio” checkbox has

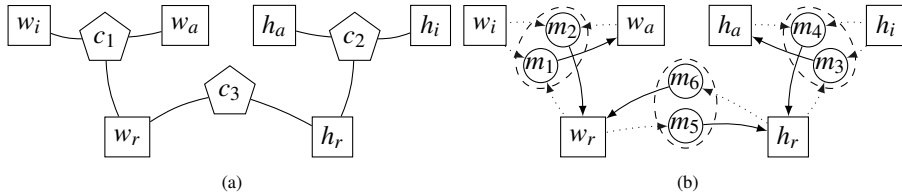


Figure 2: The constraint system arising from the GUI of Figure 1. Figure a depicts the relations ( $c_1$ ,  $c_2$ , and  $c_3$ ) amongst the variables in the system. Figure b shows the relations split into functional dependencies. A dashed ellipsis marks the set of methods that together implement a constraint’s relation; for example, applying either of the methods  $m_3$  or  $m_4$  satisfies  $c_2$ .

no corresponding variable in the constraint system. Its value determines whether the  $c_3$  constraint is active or not.

Figure 2b shows the three constraints’ decomposed into constraint satisfaction methods; executing a method satisfies the relation of its constraint. Solving a *multiway dataflow constraint system* boils down to selecting one method from each constraint such that they can be executed in an order that does not invalidate already enforced constraints, and then executing the selected methods in such an order.

### 3. Syntax and Semantics of a Multiple Assignment Language

Here we define a programming model for multiway dataflow constraint systems as an *abstract programming language*, i.e., with abstract syntax and semantics relative to a base API. This follows the ideology suggested by the ADJ group [18] of not looking at the concrete syntax but focusing on the initial algebra of the syntax. In our case we can use the multiple assignment as the normal form for the syntax. The language builds on a base API (interface) that abstracts all primitive types and functions of the language, includes all standard library types and functions, and encompasses any domain specific extension needed by a user. In this way we achieve several exposition simplifications, yet a precise definition of the programming language.

- We avoid a lengthy exposition of grammar rules for the concrete syntax.
- We avoid deciding what types and operations are part of the language or library.
- We do not have to describe how to declare and implement new types and functions at the user level, since we can assume all such definitions are embodied in the base API.

Dealing with any of these issues becomes an orthogonal extensions to the exposition of the abstract programming language.

As can be seen in the introductory example, constraints of a constraint system are connected by matching variable names. Thus, mechanisms for a module system based on variable matching are central to our presentation. These pieces are connected in Section 6.

In this section we first define standard notions of interfaces (signatures), expressions, and predicate expressions. Then we define a small programming language built

on multiple assignment statements. This programming language is given a simple set theoretic semantics for an arbitrary model of the base interface.

In this exposition we need to reconcile established terminology and notation of algebraic specifications, programming languages, and constraint systems. We decided to use a terminology close to programming languages. Thus we use interface  $I$  where algebraic specifications use signature  $\Sigma$ , we separate expressions  $E_I$  (which algebraic specifications call terms) and statements  $S_I$  since both of these are terms in language theory, call an individual statement a method  $m \in S_V$  (which also rings nicely with multiple assignment statement) to be compatible with constraint system terminology and avoid confusion with substitutions  $s$ , and we replace the term algebra  $A$  with the term model  $\mu$  to avoid confusion with assignment sets  $A_{\mu,V}$ . We also use the unfamiliar adjective *staid* to introduce specific requirements on interfaces and models. The staid properties serve two purposes: to allow a uniform use of reasoning tools for expressions and predicates for dataflow constraint systems, and to provide an equivalence relation on methods (statements) which have multiple assignments as representatives—another benefit of the initial algebra approach to syntax.

Syntactic substitutions define mappings on variables, expressions and statements. We explore substitution as a means of adapting variable names for modular reuse, and as a means of formalising both multiple assignment and statement composition. In Section 6 we use substitutions to build a module system based on variable alignment in the institution setting.

### 3.1. Interfaces and Expressions

Type correct expressions are constructed from typed function declarations and a set of typed variables, where the types and functions are declared by an interface.

**Definition 3.1.** An *interface*  $I$  declares a set of *types*  $\text{Typ}(I)$  and a set of *functions*  $\text{Fun}(I)$ . A function  $f \in \text{Fun}(I)$  has an argument list  $\text{arg}(f) = (t_1, \dots, t_k) \in \text{Typ}(I)^*$ ,  $k \geq 0$ , and a result type  $\text{res}(f) = t \in \text{Typ}(I)$ .

The notation  $X^*$  denotes all sequences of elements from the set  $X$ . As a shorthand, a function declaration can be written  $f : t_1, \dots, t_k \rightarrow t$ .

**Definition 3.2.** A *staid interface*  $I$  is an interface with a type  $\text{Predicate} \in \text{Typ}(I)$  and functions

$_ == _ : t, t \rightarrow \text{Predicate}$	for all $t \in \text{Typ}(I)$ (equality),
$(\_ ? \_ : \_) : \text{Predicate}, t, t \rightarrow t$	for all $t \in \text{Typ}(I)$ (choice),
$\text{TRUE}, \text{FALSE} : \rightarrow \text{Predicate}$	(truth values),
$! \_ : \text{Predicate} \rightarrow \text{Predicate}$	(negation), and
$\_ \& \_, \_   \_, \_ ==> \_ : \text{Predicate}, \text{Predicate} \rightarrow \text{Predicate}$	(conjunction, disjunction, implication).

A function with a result type  $\text{Predicate}$  is called a *predicate*.

**Definition 3.3.** A collection of *variables*  $V$  for an interface  $I$  declares a set of variable names  $\text{Nam}(V)$  and a function  $\text{typ}_V : \text{Nam}(V) \rightarrow \text{Typ}(I)$ .

As a shorthand, we write  $v \in V$  rather than  $v \in \text{Nam}(V)$ , and we drop the subscript  $V$  on  $\text{typ}_V$  when it is unambiguous. The same goes for other set operations on variables, assuming that the typing functions are compatible. For instance, the subset relation  $X \subseteq V$  holds when  $\text{Nam}(X) \subseteq \text{Nam}(V)$  and  $\text{typ}_X(x) = \text{typ}_V(x)$  for every  $x \in \text{Nam}(X)$ . Let  $\emptyset$  denote the empty collection of variables, i.e.,  $\text{Nam}(\emptyset) = \{\}$ .

**Definition 3.4.** The *expressions* of type  $t \in \text{Typ}(I)$  on an interface  $I$  with variables  $V$  is a set  $E_{I,V,t}$  freely generated by

- for  $v \in \text{Nam}(V)$  with  $\text{typ}(v) = t$ , then  $v \in E_{I,V,t}$ , and
- for  $f : t_1, \dots, t_k \rightarrow t \in \text{Fun}(I)$ ,  $e_1 \in E_{I,V,t_1}, \dots, e_k \in E_{I,V,t_k}$ , then  $f(e_1, \dots, e_k) \in E_{I,V,t}$ .

In expressions we use the function name as a shorthand for the function declaration, tacitly assuming that all ambiguities are handled as needed. Let the set of all type correct expressions be  $E_{I,V} = \bigcup_{t \in \text{Typ}(I)} E_{I,V,t}$ . The expressions  $E_{I,\emptyset}$  are called variable-free. For an expression  $e \in E_{I,V}$ , we let  $\text{var}(e) \subseteq V$  be the set of variables that appear in  $e$ , and we extend the typing function from variables to  $\text{typ} : E_{I,V} \rightarrow \text{Typ}(I)$  by  $\text{typ}(e) = t$  for  $e \in E_{I,V,t}$ .

Aligning methods on global variables is an important aspect of multiway dataflow constraint systems. We therefore introduce substitution as a mechanism to change variables in expressions.

**Definition 3.5.** Let  $I$  be an interface and  $X$  and  $Y$  be variables for  $I$ .

- A *substitution (on variables)*  $s : X \rightarrow E_{I,Y}$  is a function from  $\text{Nam}(X)$  to  $E_{I,Y}$  such that  $\text{typ}(x) = \text{typ}(s(x))$  for all  $x \in \text{Nam}(X)$ .
- If  $s(x) \in Y$  for all  $x \in X' \subseteq X$  then  $s$  is a *renaming limited to  $X'$* . It is simply a *renaming* when it is a renaming for all of  $X$ .

There is no requirement that  $X$  and  $Y$  are disjoint. We do not impose any injectivity or surjectivity requirements on a renaming.

Given a distinct set of variables  $X = \{x_1, \dots, x_n\}$ , we can define a substitution  $s : X \rightarrow E_{I,Y}$  by the list  $[x_1 \mapsto e_1, \dots, x_n \mapsto e_n]$ , for  $e_1, \dots, e_n \in E_{I,Y}$ , meaning  $s(x_1) = e_1, \dots, s(x_n) = e_n$ .

A substitution on variables  $s : X \rightarrow E_{I,Y}$  can be extended to a substitution on expressions, i.e., to a function  $\bar{s} : E_{I,X} \rightarrow E_{I,Y}$  defined by

$$\bar{s}(e) = \begin{cases} s(e) & \text{for } e \in X, \\ f(\bar{s}(e_1), \dots, \bar{s}(e_k)) & \text{for } e = f(e_1, \dots, e_k). \end{cases} \quad (1)$$

Note that variable substitution aligns with expression substitution for variables,  $s(x) = \bar{s}(x)$  for all  $x \in X$ . We therefore normally overload the notation using  $s$  for both.

**Definition 3.6.** Let  $X, Y$  and  $Z$  be variables for an interface  $I$ .

The composition of a substitution  $r : X \rightarrow E_{I,Y}$  and  $s : Y \rightarrow E_{I,Z}$  is a substitution  $(s \circ r) : X \rightarrow E_{I,Z}$  defined by

$$(s \circ r)(x) = \bar{s}(r(x)). \quad (2)$$

Substitution composition is associative since function composition is associative. Define  $\text{id}_V : V \rightarrow E_{I,V}$  by  $\text{id}_V(v) = v$  for all variables  $v \in V$ , then  $\text{id}_V$  is neutral w.r.t. composition. That is, for  $s : X \rightarrow E_{I,Y}$  then  $\text{id}_Y \circ s = s$  and  $s \circ \text{id}_X = s$ . The composition of two renamings is a renaming, the composition of injective renamings is injective, the composition of surjective renamings is surjective, and the composition of bijective renamings is bijective.

Predicate expressions should follow normal semantic conventions.

**Definition 3.7.** Let  $I$  be a staid interface with variables  $x, y, z, x_1, \dots, x'_1, \dots \in V$ , the types of which are clear from the context.

In a *staid model* for  $I$  the following properties hold

$$\text{TRUE} \neq \text{FALSE}, \quad (3)$$

$$(x == x) = \text{TRUE}, \quad (4)$$

$$(x == y) = \text{TRUE} \Leftrightarrow (y == x) = \text{TRUE}, \quad (5)$$

$$(x == y) = \text{FALSE} \Leftrightarrow (y == x) = \text{FALSE}, \quad (6)$$

$$(x == y \ \& \ y == z) = \text{TRUE} \Rightarrow (x == z) = \text{TRUE}, \quad (7)$$

$$(x_1 == x'_1 \ \& \ \dots \ \& \ x_k == x'_k) = \text{TRUE} \Rightarrow (f(x_1, \dots, x_k) == f(x'_1, \dots, x'_k)) = \text{TRUE}$$

$$\text{for all } f : t_1, \dots, t_n \rightarrow t \in \text{Fun}(I), \quad (8)$$

$$\text{TRUE} ?x : y = x, \quad (9)$$

$$\text{FALSE} ?x : y = y, \quad (10)$$

$$\text{TRUE} \ \& \ \text{TRUE} = \text{TRUE}, \quad (11)$$

$$\text{TRUE} \ \& \ \text{FALSE} = \text{FALSE}, \quad (12)$$

$$\text{FALSE} \ \& \ \text{TRUE} = \text{FALSE}, \quad (13)$$

$$\text{FALSE} \ \& \ \text{FALSE} = \text{FALSE}, \quad (14)$$

$$\text{TRUE} \ | \ \text{TRUE} = \text{TRUE}, \quad (15)$$

$$\text{TRUE} \ | \ \text{FALSE} = \text{TRUE}, \quad (16)$$

$$\text{FALSE} \ | \ \text{TRUE} = \text{TRUE}, \quad (17)$$

$$\text{FALSE} \ | \ \text{FALSE} = \text{FALSE}, \quad (18)$$

$$\text{TRUE} \Rightarrow \text{TRUE} = \text{TRUE}, \quad (19)$$

$$\text{TRUE} \Rightarrow \text{FALSE} = \text{FALSE}, \quad (20)$$

$$\text{FALSE} \Rightarrow \text{TRUE} = \text{TRUE}, \quad (21)$$

$$\text{FALSE} \Rightarrow \text{FALSE} = \text{TRUE}, \quad (22)$$

$$! \text{TRUE} = \text{FALSE}, \quad (23)$$

$$! \text{FALSE} = \text{TRUE}. \quad (24)$$

The first line states that the two constants TRUE, FALSE are different, the next 4 lines establish that == is an equivalence relation (in the model), while Equation 8 adds the congruence property. The next two lines define the effect of the choice function. The rest are truth tables for the boolean connectives. From this we can, for a two-valued logic, derive the associative and commutative properties for & and |, their

distributivity,  $p \Rightarrow q = ! p \mid q$ , involutive properties for  $!$ , de Morgan's laws,  $p ? e_1 : e_2 = ! p ? e_2 : e_1$ , etc. From Equations (4-8) it follows that  $==$  is a congruence for  $I$ . We also have that, interpreting  $e_1 == c_2$  as a shorthand for  $(e_1 == c_2) = \text{TRUE}$ ,

- when  $e_1 == e_2$  then  $s(e_1) == s(e_2)$  for expressions  $e_1, e_2 \in E_{I,X}$  and substitution  $s : X \rightarrow E_{I,Y}$ , and
- when  $e_1 == e'_1, \dots, e_n == e'_n$ , for  $n$  a natural number, then  $[x_1 \mapsto e_1, \dots, x_n \mapsto e_n](e) = [x_1 \mapsto e'_1, \dots, x_n \mapsto e'_n](e)$  for expressions  $e_1, e'_1, \dots, e_n, e'_n \in E_{I,Y}$  and  $e \in E_{I,X}$  and distinct variables  $x_1, \dots, x_n \in X$ .

This approach is very similar to the approach taken in Larch and is supported by the Larch prover [19, 20]. Note that  $=, \Rightarrow, \Leftrightarrow$  are at the proof system level, thus putting restrictions on staid models. The “proof table” setup gives the normal semantics of predicates when generated in TRUE, FALSE, while admitting multivalued logics without any limiting constraints.

### 3.2. Multiple Assignment Statements

The basic building block for algorithms in our abstract programming language are sequences of multiple assignments and choice statements. We term such sequences *methods*, and treat them as our algorithmic units.

**Definition 3.8.** Let  $I$  be a staid interface and  $V$  variables for  $I$ .

The *statements* (or the *methods*)  $S_{I,V}$  for interface  $I$  with variables  $V$  are freely generated by

- (multiple assignment)  $x_1, \dots, x_n := e_1, \dots, e_n$  where  $x_i \in V$ ,  $e_i \in E_{I,V}$ , all  $x_i$  are distinct, and  $\text{Typ}(x_i) = \text{Typ}(e_i)$  for all  $i$  where  $0 < i \leq n$ ,
- (skip) **skip**,
- (sequence)  $m_1; m_2$  where  $m_1, m_2 \in S_{I,V}$  are statements, and
- (choice) **if**  $p$  **then**  $m_1$  **else**  $m_2$  **end** where  $p \in E_{I,V,\text{Predicate}}$  and  $m_1, m_2 \in S_{I,V}$  are statements.

Note the similarity between multiple assignment statements and substitutions. We will use this to translate between the two notions.

**Definition 3.9.** Let  $m = (x_1, \dots, x_n := e_1, \dots, e_n)$  be a multiple assignment statement and  $s = [x_1 \mapsto e_1, \dots, x_n \mapsto e_n]$  be a substitution for a staid interface  $I$  with distinct variables  $x_1, \dots, x_n \in V$  and expressions  $e_1, \dots, e_n \in E_{I,V}$ .

Define  $m^\Phi = s$  (met-to-sub) which takes a multiple assignment statement to its corresponding substitution, and  $s^\ominus = m$  (sub-to-met) which takes a substitution to its corresponding multiple assignment statement.

The two operations are inverses, i.e.,  $m = (m^\Phi)^\ominus$  and  $s = (s^\ominus)^\Phi$ .

We consider an assignment  $v := v$  to be vacuous, and that we can add/remove vacuous assignments in any multiple assignment statement, i.e.,  $x_1, \dots, x_n := e_1, \dots, e_n = x_1, \dots, x_n, v := e_1, \dots, e_n, v$  for  $v \notin \{x_1, \dots, x_n\}$ . The following links vacuous assignments with modifications of substitutions.

**Definition 3.10.** Let  $I$  be an interface,  $V, X, Y$  be variables for  $I$  and  $s : X \rightarrow E_{I,Y}$  be a substitution.

- The  $V$ -extended substitution  $\lceil s \rceil^V : (X \cup V) \rightarrow E_{I,Y \cup V}$  is the substitution

$$\lceil s \rceil^V(v) = \begin{cases} s(v) & v \in X \\ v & \text{otherwise.} \end{cases}$$

- Define  $m^{\phi V} = \lceil m^{\phi} \rceil^V$  as a shorthand to extend the substitution corresponding to a multiple assignment  $m$  with extra variables.
- The  $V$ -stripped substitution  $\lfloor s \rfloor_V : X' \rightarrow E_{I,Y'}$ , where the variables  $X' = X \cap V$  and  $Y' = \cup_{x \in X'} \text{var}(s(x)) \subseteq Y$ , is the substitution  $\lfloor s \rfloor_V(x) = s(x)$  for all  $x \in X'$ .
- The trimmed substitution  $\lfloor s \rfloor = \lfloor s \rfloor_{V'}$ , where  $V' = \{x \in X \mid s(x) \neq x\}$ .
- Define  $s^{\ominus} = \lfloor s^{\ominus} \rfloor$  as a shorthand for trimming the multiple assignment statement corresponding to a substitution  $s$ .

**Fact 3.11.** *Trimming a substitution  $s$  removes all identity mappings from the substitution. Thus  $\lfloor \text{id}_V \rfloor$  is the empty substitution,  $\lfloor s \rfloor = \lfloor \lfloor s \rfloor \rfloor$ , and  $\lfloor s \rfloor = \lfloor \lceil s \rceil^V \rfloor$ . If  $X \cap Y = \emptyset$  then  $\lceil s \rceil^V \circ \lceil s \rceil^V = \lceil s \rceil^V$  and  $\lfloor s \rfloor = s$ .*

In accordance with statement semantic conventions, we want the following properties for methods.

**Definition 3.12.** Let  $I$  be an interface,  $x_1, \dots, x'_1, \dots \in V$  be variables for  $I$ ,  $e_1, \dots, e'_1, \dots \in E_{I,V}$  be expressions, and  $p \in E_{I,V, \text{Predicate}}$  be a predicate expression.

In a *staid statement semantics* the following properties hold:

- Any permutation of assignments  $x_1, \dots, x_n := e_1, \dots, e_n$  has the same semantics.
- Adding or removing a vacuous assignment from a multiple assignment statement has the same semantics as the original multiple assignment.
- **skip** has the same semantics as the vacuous assignment  $\varepsilon := \varepsilon$ , where  $\varepsilon$  is the empty list of variables.
- **if  $p$  then  $x_1, \dots, x_n := e_1, \dots, e_n$  else  $x_1, \dots, x_n := e'_1, \dots, e'_n$  end** has the same semantics as  $x_1, \dots, x_n := p ? e_1 : e'_1, \dots, p ? e_n : e'_n$ .
- Let

$$\begin{aligned} m &= (x_1, \dots, x_n := e_1, \dots, e_n), \\ m' &= (x'_1, \dots, x'_n := e'_1, \dots, e'_n) \end{aligned}$$

be multiple assignment statements. Then  $m; m'$  has the same semantics as

$$x'_1, \dots, x'_n, \dots, x_j, \dots := m^{\phi V}(e'_1), \dots, m^{\phi V}(e'_n), \dots, m^{\phi V}(x_j), \dots$$

where  $x_j \in \{x_1, \dots, x_n\} \setminus \{x'_1, \dots, x'_n\}$ .

Note that a multiple assignment statement for variables  $X \subseteq V$  can be expanded to a multiple assignment statement on all variables of  $V$  by adding vacuous assignments. This simplifies reasoning on composition of multiple assignment statements.

The staid semantics properties define a quotient on method syntax, with multiple assignment statements as (non-canonical) representatives for each equivalence class.

**Proposition 3.13.** *In staid semantics any statement is equivalent to a single multiple assignment statement.*

*Proof.* We take the claim as an induction hypothesis. By staid semantics, the **skip** statement is a multiple assignment. By the hypothesis, the statements in each branch of a choice statement can be coalesced into a single multiple assignment. By staid semantics, a choice statement with a multiple assignment in each branch can be replaced by a single multiple assignment statement. By staid semantics, a sequence of assignments can be replaced by a single assignment statement.  $\square$

**Proposition 3.14** (Contravariant composition rules for methods/substitution). *Let  $m$  and  $m'$  be two multiple assignment statements, then in staid semantics  $(m; m')^{\Phi V} = m^{\Phi V} \circ m'^{\Phi V}$ .*

*Proof.* Let the substitution  $s = m^{\Phi V}$  and  $s' = [\dots, x'_j \mapsto e'_j, \dots] = m'^{\Phi V}$ . The composition  $m; m'$  corresponds to the substitution  $[\dots, x'_j \mapsto s(e'_j), \dots]$ , which is the same as  $[\dots, x'_j \mapsto s(s'(x'_j)), \dots] = s \circ s'$ .  $\square$

**Proposition 3.15.** *For staid semantics the statement composition is associative with **skip** as the neutral statement.*

*Proof.* The composition of three multiple assignment statements  $m, m', m''$  can be studied as the composition of the corresponding substitutions,  $((m; m'); m'')^{\Phi V} = (m^{\Phi V} \circ m'^{\Phi V}) \circ m''^{\Phi V} = m^{\Phi V} \circ (m'^{\Phi V} \circ m''^{\Phi V}) = (m; (m'; m''))^{\Phi V}$ .  $\square$

With the initial algebra approach to syntax and staid semantics, we can now use a single multiple assignment statement as the normal form for any sequence of statements. We will typically choose either a trimmed assignment method  $(m^\Phi)^{\lfloor \ominus \rfloor}$  or an assignment method extended to all the variables  $(m^{\Phi V})^\ominus$ , though other representatives can be used when needed.

We have omitted loops from our language since method execution will be controlled by an outside planner or iterator. If iteration is needed within a method, we assume the library provides the needed facilities.

We can further extend substitution to act on multiple assignment statements, i.e., arbitrary methods presented in their normal form.

**Definition 3.16.** Let  $V, W$  be variables for an interface  $I$ ,  $m \in S_{I, V}$  be a multiple assignment statement  $m = (x_1, \dots, x_n := e_1, \dots, e_n)$  for  $x_1, \dots, x_n \in V$  and  $e_1, \dots, e_n \in E_{I, V}$ , and the substitution  $s : V \rightarrow E_{I, W}$  be an injective renaming for  $X = \{x_1, \dots, x_n\} \subseteq V$ .

The *application* of  $s$  to  $m$  yields a multiple assignment statement  $s(m) \in S_{I, W}$  defined as  $s(x_1), \dots, s(x_n) := s(e_1), \dots, s(e_n)$ .

Here the left hand side variables that are replaced by proper expressions have to be removed from the multiple assignment after substitution. The right hand side expressions are changed according to the normal application of a substitution on expressions.

### 3.3. Semantics

Our semantic notion is that of heterogeneous or many-sorted algebras [14]. We interpret types as denoting sets and functions as denoting total set theoretic functions. This gives flexibility in choosing both the interface and a model for the interface, but such that the predicate type and a few predicate operations must have a staid interpretation. This flexibility allows us to work with any domain-specific API and associated semantics when solving practical problems. We just “plug in” the appropriate interface and algebra to become compatible with the selected problem domain. The syntactic and semantic framework has been defined with this in mind.

**Definition 3.17.** The *model*  $\mu : I \rightarrow \mathbf{Set}$  of an interface  $I$  defines a set  $\mu(t)$  for every  $t \in \text{Typ}(I)$  and a total function  $\mu(f) : \mu(t_1) \times \cdots \times \mu(t_k) \rightarrow \mu(t)$  for every function  $f : t_1, \dots, t_k \rightarrow t \in \text{Fun}(I)$ .

**Definition 3.18.** A *standard model*  $\mu$  for a staid interface  $I$  is a model for  $I$  where

$$\mu(\text{Predicate}) = \{\text{tt}, \text{ff}\}, \quad (25)$$

$$\mu(\text{TRUE}) = \text{tt}, \quad (26)$$

$$\mu(\text{FALSE}) = \text{ff}, \quad (27)$$

$$\mu(==)(x, y) = \begin{cases} \text{tt} & \text{when } x = y, \\ \text{ff} & \text{when } x \neq y, \end{cases} \quad (28)$$

$$\mu(-?_ - : -)(p, y, z) = \begin{cases} y & \text{when } p = \text{tt}, \\ z & \text{when } p = \text{ff}, \end{cases} \quad (29)$$

$$\mu(\&)(p, q) = \begin{cases} \text{tt} & \text{when } p = q = \text{tt}, \\ \text{ff} & \text{when } p = \text{ff} \text{ or } q = \text{ff}, \end{cases} \quad (30)$$

$$\mu(|)(p, q) = \begin{cases} \text{tt} & \text{when } p = \text{tt} \text{ or } q = \text{tt}, \\ \text{ff} & \text{when } p = q = \text{ff}, \end{cases} \quad (31)$$

$$\mu(=>)(p, q) = \begin{cases} \text{tt} & \text{when } p = \text{ff} \text{ or } q = \text{tt}, \\ \text{ff} & \text{when } p = \text{tt} \text{ and } q = \text{ff}, \end{cases} \quad (32)$$

$$\mu(!)(p) = \begin{cases} \text{tt} & \text{when } p = \text{ff}, \\ \text{ff} & \text{when } p = \text{tt}, \end{cases} \quad (33)$$

and tt and ff are distinct values.

Any model isomorphic to the standard model above is also considered a standard model for a staid interface.

In algebraic semantics variables are placeholders for values. A variable can be allocated a value of the appropriate type.

**Definition 3.19.** An *allocation*  $a : V \rightarrow \mu$  of values to variables  $V$  for an interface  $I$  with model  $\mu$  defines a value  $a(v) \in \mu(\text{typ}(v))$  for all  $v \in \text{Nam}(V)$ .

Define  $A_{\mu, V} = \{a : V \rightarrow \mu\}$  to be the set of all allocations of values from  $\mu$  to variables  $V$ .

**Definition 3.20.** Let  $\mu$  be a model for the interface  $I$  and  $a \in A_{\mu,V}$  be an allocation to variables  $V$  for  $I$ .

The evaluation  $\llbracket \_ \rrbracket_{\mu,a,t} : E_{I,V,t} \rightarrow \mu(t)$  of expressions of type  $t \in \text{Typ}(I)$  with allocation  $a$  is defined by

$$\llbracket e \rrbracket_{\mu,a,t} = \begin{cases} a(e) & \text{for } e \in V, \\ \mu(f)(\llbracket e_1 \rrbracket_{\mu,a,t_1}, \dots, \llbracket e_k \rrbracket_{\mu,a,t_k}) & \text{for } e = f(e_1, \dots, e_k) \text{ and} \\ & f : t_1, \dots, t_k \rightarrow t. \end{cases} \quad (34)$$

We often omit the type information from  $\llbracket e \rrbracket_{\mu,a,t}$ , writing just  $\llbracket e \rrbracket_{\mu,a}$ , since we always have that  $t = \text{typ}(e)$ . Variable-free expressions  $E_{I,\emptyset}$  represent all values of  $\mu$  that we can denote. A model  $\mu$  may contain undenotable values. For instance, relatively few of the mathematical real numbers are denotable.

**Proposition 3.21.** *For a staid interface the standard semantic model is staid.*

*Proof.* Follows easily from the definition of staid expressions and the semantic properties above.  $\square$

We can now study semantics for substitutions. First define the allocation  $s_{\mu,b} : X \rightarrow \mu$  by  $s_{\mu,b}(x) = \llbracket s(x) \rrbracket_{\mu,b}$  where  $X, Y$  are variables for interface  $I$ ,  $s : X \rightarrow E_{I,Y}$  is a substitution, and  $b : Y \rightarrow \mu$  is an allocation.

**Definition 3.22.** Let  $\mu : I \rightarrow \mathbf{Set}$  be a model and  $X, Y$  be variables for an interface  $I$ .

The *semantics of a substitution*  $s : X \rightarrow E_{I,Y}$  is a contravariant mapping of allocations  $\llbracket s \rrbracket_{\mu,-} : A_{\mu,Y} \rightarrow A_{\mu,X}$  defined by  $\llbracket s \rrbracket_{\mu,-}(b) = s_{\mu,b}$ .

**Proposition 3.23.** *Let  $I$  be an interface,  $X$  and  $Y$  be variables for  $I$ ,  $\mu : I \rightarrow \mathbf{Set}$  be a model for  $I$ ,  $s : X \rightarrow E_{I,Y}$  be a substitution, and  $b : Y \rightarrow \mu$  be an allocation.*

*For any expression  $e \in E_{I,X}$  we have that  $\llbracket s(e) \rrbracket_{\mu,b} = \llbracket e \rrbracket_{\mu,s_{\mu,b}}$ .*

*Proof.* Here  $s_{\mu,b} \in A_{\mu,X}$  is an allocation that gives all variables in  $e$  a value. The value for variable  $x$  is  $s_{\mu,b}(x) = \llbracket s(x) \rrbracket_{\mu,b}$ , where  $s(x)$  is the subexpression replacing  $x$  in  $e$ . Hence, for all variables  $x \in X$ , evaluating the subexpression  $s(x)$  inside  $s(e)$  for allocation  $b$  yields the same value as using  $s_{\mu,b}(x)$  directly in  $e$ .  $\square$

The standard semantics for imperative languages requires the use of a *global store* indexed by locations [26]. The variables of the program denote locations, and the content of the variable is stored at the location. This allows the modelling of pointers, of data structures requiring more than one location, such as C style arrays, and other effects of a language design that allows aliasing. Even though our notion of methods are imperative in the sense that we assign values to variables in the multiple assignment statements, we do not require a global store in our setting. The reason is that we can model the association of variables to values by allocations as defined above. Rather than using a global store, we define the semantics of methods as allocation transformers.

**Definition 3.24.** Let  $\mu : I \rightarrow \mathbf{Set}$  be a standard model for the staid interface  $I$  with variables  $V$ .

The semantics of a statement  $m \in S_{I,V}$  is a transformation of allocations  $\llbracket m \rrbracket_{\mu,-} : A_{\mu,V} \rightarrow A_{\mu,V}$  where for allocation  $a \in A_{\mu,V}$  and each method (see 3.8)

$$\llbracket x_1, \dots, x_n := e_1, \dots, e_n \rrbracket_{\mu,a}(v) = \begin{cases} \llbracket e_1 \rrbracket_{\mu,a} & \text{when } v = x_1, \\ \vdots \\ \llbracket e_n \rrbracket_{\mu,a} & \text{when } v = x_n, \\ a(v) & \text{otherwise,} \end{cases} \quad (35)$$

$$\llbracket \text{skip} \rrbracket_{\mu,a}(v) = a(v), \quad (36)$$

$$\llbracket m_1; m_2 \rrbracket_{\mu,a}(v) = \llbracket m_2 \rrbracket_{\mu, \llbracket m_1 \rrbracket_{\mu,a}(v)}, \quad (37)$$

$$\llbracket \text{if } p \text{ then } m_1 \text{ else } m_2 \text{ end} \rrbracket_{\mu,a}(v) = \begin{cases} \llbracket m_1 \rrbracket_{\mu,a}(v) & \text{when } \llbracket p \rrbracket_{\mu,a} = \llbracket \text{TRUE} \rrbracket_{\mu}, \\ \llbracket m_2 \rrbracket_{\mu,a}(v) & \text{when } \llbracket p \rrbracket_{\mu,a} = \llbracket \text{FALSE} \rrbracket_{\mu}. \end{cases} \quad (38)$$

**Fact 3.25.** *The semantics of statement sequencing is the covariant composition of the component allocation transformations,  $\llbracket m_1; m_2 \rrbracket_{\mu,-} = \llbracket m_2 \rrbracket_{\mu,-} \circ \llbracket m_1 \rrbracket_{\mu,-}$ .*

Due to the semantics of substitutions being contravariant to the substitutions, and the substitutions being contravariant to the methods, we end up with the semantics of a method and its associated substitution to be the same.

**Proposition 3.26.** *Let  $m \in S_{I,V}$  be a method and  $s : X \rightarrow E_{I,Y}$  be a substitution for staid interface  $I$  with a standard semantics  $\mu$  and variables  $X, Y \subseteq V$ . Then the following holds:*

$$\begin{aligned} \llbracket m \rrbracket_{\mu,-} &= \llbracket m^\diamond \rrbracket_{\mu,-} : A_{\mu,V} \rightarrow A_{\mu,V} \\ \llbracket [s]^\vee \rrbracket_{\mu,-} &= \llbracket [s^\ominus] \rrbracket_{\mu,-} : A_{\mu,V} \rightarrow A_{\mu,V} \end{aligned}$$

*Proof.* For the first claim, note that for any  $v \in V$  and any  $a \in A_{I,V}$ , we have that

$$\llbracket m \rrbracket_{\mu,a}(v) = \llbracket m^\diamond \rrbracket_{\mu,a}(v) = (\llbracket m^\diamond \rrbracket)_{\mu,a}(v).$$

Similarly for the second claim, we have that

$$\llbracket [s^\ominus] \rrbracket_{\mu,a}(v) = \llbracket [s]^\vee \rrbracket_{\mu,a}(v) = (\llbracket [s]^\vee \rrbracket)_{\mu,a}(v).$$

□

**Proposition 3.27.** *Let  $\mu : I \rightarrow \mathbf{Set}$  be a standard model for the staid interface  $I$  with variables  $V$ .*

*Then the semantics for methods  $m \in S_{I,V}$  is staid.*

*Proof.* The proof is by cases from the definition of staid statement semantics 3.12.

- Since all variables are distinct, the defined multiple assignment of new values to variables is independent of ordering of assignments within the statement.
- A missing variable assignment or a vacuous assignment both represent the identity allocation mapping,  $\llbracket \varepsilon := \varepsilon \rrbracket_{\mu,a} = a = \llbracket x_1, \dots, x_n := x_1, \dots, x_n \rrbracket_{\mu,a}$  for all  $a \in A_{\mu,V}$ .

- The **skip** statement and the vacuous assignment both represent the identity allocation mapping,  $\llbracket \mathbf{skip} \rrbracket_{\mu,a} = a = \llbracket \varepsilon := \varepsilon \rrbracket_{\mu,a}$  for all allocations  $a \in A_{\mu,V}$ .
- $\llbracket \mathbf{if } p \mathbf{ then } x_1, \dots, x_n := e_1, \dots, e_n \mathbf{ else } x_1, \dots, x_n := e'_1, \dots, e'_n \mathbf{ end} \rrbracket_{\mu,a}(v)$  is equal to  $\llbracket x_1, \dots, x_n := p ? e_1 : e'_1, \dots, p ? e_n : e'_n \rrbracket_{\mu,a}(v)$  for all variables  $v \in V$  and for all allocations  $a \in A_{\mu,V}$ .
- The semantics of sequences  $m; m'$  of multiple assignments  $m, m' \in S_{I,V}$ , where  $m' = (\dots, x'_i, \dots := \dots, e'_i, \dots)$ , is

$$\begin{aligned}
\llbracket m; m' \rrbracket_{\mu,a}(v) &= \llbracket m' \rrbracket_{\mu, [m]_{\mu,a}}(v) \\
&= \begin{cases} \dots \\ \llbracket e'_i \rrbracket_{\mu, [m]_{\mu,a}} & \text{when } v = x'_i, \\ \dots \\ \llbracket m \rrbracket_{\mu,a}(v) & \text{otherwise} \end{cases} \\
&= \begin{cases} \dots \\ \llbracket e'_i \rrbracket_{\mu, (m^{\phi V})_{\mu,a}} & \text{when } v = x'_i, \\ \dots \\ (m^{\phi V})_{\mu,a}(v) & \text{otherwise} \end{cases} \\
&= \begin{cases} \dots \\ \llbracket m^{\phi V}(e'_i) \rrbracket_{\mu,a} & \text{when } v = x'_i, \\ \dots \\ m^{\phi V}(v) & \text{otherwise} \end{cases} \\
&= \llbracket \dots, x'_i, \dots, x_j, \dots := \dots, (m^{\phi V})(e'_i), \dots, m^{\phi V}(x_j) \dots \rrbracket_{\mu,a},
\end{aligned}$$

for all variables  $v \in V$  and for all allocations  $a \in A_{\mu,V}$ . □

### 3.4. Axioms and Propositions for Models

If a predicate expression is true for all allocations in a model, it is a “*property*” of the model. A property is an “*axiom*” if we think of it as a requirement on which models we will accept. It is a “*proposition*” of the model if it happens to hold in a chosen model. In principle we can prove the “propositions” from the “axioms” of a model. Technically “axioms” and “propositions” are the same, the only difference being the authors’ perspective. We will use the term axiom except when we specifically want to emphasise that the property should be proved for a model. Axioms demand properties of models, without deciding exactly which model we are considering. The properties we defined for staid semantics of staid interfaces are axioms on the models.

**Definition 3.28.** Let  $I$  be an interface and  $V$  a collection of variables for  $I$ .

An *axiom* is a predicate expression  $p \in E_{I,V,\text{Predicate}}$ .

A model  $\mu$  for  $I$  satisfies an axiom  $p \in E_{I,V,\text{Predicate}}$ , written  $\mu \models_{I,V} p$ , iff  $\llbracket p \rrbracket_{\mu,a} = \llbracket \text{TRUE} \rrbracket_{\mu}$  for all allocations  $a \in A_{\mu,V}$ .

For short we say that  $p$  holds for  $\mu$  when meaning  $\mu \models_{I,V} p$ .

This definition gives us boolean expression logic as our specification formalism. Boolean expressions are part of our staid interface notation, and are common as expressions in programming languages. Such axioms can be tested by running them as normal code and providing test cases as input data for the predicate's variables (allocations in our exposition above) [27]. Algebraic specification theory identifies a sequence of increasingly more powerful logics leading up to predicate logic: equational logic, conditional equational logic, boolean expression (propositional) logic, first order predicate logic [14]. In this sequence, boolean expression logic is the most powerful logic that can easily be tested in a programming language setting.

**Example 3.29.** For an interface  $I$  with predicate  $\leq: t, t \rightarrow \text{Predicate}$ , the following axioms on variables  $\{x, y, z\}$  of type  $t$  define a total order on the type  $t$ :  $x \leq x$  (reflexive),  $x \leq y \ \& \ y \leq x \Rightarrow x == y$  (antisymmetric),  $x \leq y \ \& \ y \leq z \Rightarrow x \leq z$  (transitive), and  $x \leq y \mid y \leq x$  (connected).

Here we used the shorthand of providing a set of axioms  $P \subseteq E_{I,V,\text{Predicate}}$  rather than explicitly writing one big axiom  $\&_{p \in P} p$  (recall that in the standard model  $\&$  is associative and commutative):  $(\mu \models_{I,V} P) = (\forall (p \in P)(\mu \models_{I,V} p)) = (\mu \models_{I,V} (\&_{p \in P} p))$ .

Axioms can be used to verify properties of software if they convey enough information about the model. Axioms are resistant to variable substitution.

**Proposition 3.30.** *Let  $X, Y$  be variables for  $I$ ,  $s : X \rightarrow E_{I,Y}$  a substitution, and  $\mu$  a model for  $I$ . If an axiom  $p \in E_{I,X,\text{Predicate}}$  holds in  $\mu$ , then  $s(p) \in E_{I,Y,\text{Predicate}}$  holds in  $\mu$ .*

*Proof.* That  $p \in E_{I,X,\text{Predicate}}$  holds in  $\mu$  means that  $p$  holds for every allocation  $a : X \rightarrow \mu$ . Let  $b : Y \rightarrow \mu$  be some allocation. Then, for all  $e \in E_{I,Y}$  we have that  $\llbracket s(e) \rrbracket_{\mu,b} = \llbracket e \rrbracket_{\mu,s_{\mu,b}}$ . Hence,  $s(p)$  holds for  $b$ , since  $p$  holds for any allocation. Since the choice of  $b : Y \rightarrow \mu$  was arbitrary,  $s(p)$  will hold in  $\mu$  whenever  $p$  holds in  $\mu$ .  $\square$

**Example 3.31.** Here we show how to specify *semiring* (sometimes called *rig*) using the notions we have introduced for axioms. The interface can be written as

```

type T;
function mult ( a:T, b:T ) : T;
function one () : T;
function plus ( a:T, b:T ) : T;
function zero () : T;

```

with axioms stating that:

- `mult` is a monoid with `one` as unit: `mult ( mult ( a,b ), c ) == mult ( a, mult ( b,c ) )` and `mult ( a, one () ) == a` and `mult ( one (), a ) == a`.
- `plus` is a commutative monoid with `zero` as unit: `plus ( plus ( a,b ), c ) == plus ( a, plus ( b,c ) )` and `plus ( a, zero () ) == a` and `plus ( zero (), a ) == a` and `plus ( a,b ) == plus ( b,a )`.

- `mult` distributes over `plus` :  
 $\text{mult } (a, \text{plus } (b, c)) == \text{plus } (\text{mult } (a, b), \text{mult } (a, c))$  and  
 $\text{mult } (\text{plus } (b, c), a) == \text{plus } (\text{mult } (b, a), \text{mult } (c, a)).$
- `mult` by zero annihilates:  $\text{mult } (a, \text{zero } ()) == \text{zero } ()$  and  
 $\text{mult } (\text{zero } (), a) == \text{zero } ()$ .

A semiring is commutative if `mult` is commutative:  $\text{mult } (a, b) == \text{mult } (b, a)$ . The standard example of a commutative semiring is the natural numbers: multiplication for `mult` with 1 for `one` and addition for `plus` with 0 for `zero`.

A semiring is idempotent if `plus` is idempotent:  $\text{plus } (a, a) == a$ . Examples of commutative idempotent semirings are the tropical semirings: addition for `mult` with 0 for `one` and minimum (or maximum) for `plus` with  $\infty$  (respectively  $-\infty$ ) for `zero`.

Idempotent commutative semirings show up also when we investigate dataflow constraint properties in Section 4.2

#### 4. Dataflow Constraints

From now on we update our terminology to match the literature on multiway dataflow constraint systems. All interfaces will be staid with only standard semantic models (two valued logic).

The constraints  $C_{I,V} = E_{I,V,\text{Predicate}}$  are the predicate expressions for interface  $I$  with variables  $V$ . A constraint  $c \in C_{I,V}$  holds for an allocation  $a \in A_{\mu,V}$  when  $\llbracket c \rrbracket_{\mu,a} = \llbracket \text{TRUE} \rrbracket_{\mu}$ . A method is a multiple assignment statement  $m \in S_{I,V}$ , or dually, a substitution  $m^{\phi V} : V \rightarrow E_{I,V}$  which is contravariant to the statement. Further, the semantics of a method  $m$  is a covariant mapping of allocations, while the semantics of the corresponding substitution  $m^{\phi V}$  is a contravariant mapping of allocations, i.e., for a method sequence  $m_1; m_2$  we get that  $\llbracket m_1; m_2 \rrbracket_{\mu,-} = \llbracket m_1^{\phi V} \circ m_2^{\phi V} \rrbracket_{\mu,-} : A_{I,V} \rightarrow A_{I,V}$ .

We call  $X = \text{out}(m)$  the outputs and  $Y = \text{inp}(m)$  the inputs of  $m \in S_{I,V}$  for  $m^{\phi} : X \rightarrow E_{I,Y}$  and variables  $X, Y \subseteq V$ . The variables common to  $X$  and  $Y$ ,  $\text{upd}(m) = \text{inp}(m) \cap \text{out}(m)$ , are called the updates of  $m$ . The method is *minimal*, if its corresponding substitution is trimmed. We implicitly refer to the minimal method when talking about its inputs and outputs.

**Definition 4.1.** Let  $c \in C_{I,V}$  be a constraint on variables  $V$  for the interface  $I$ ,  $\mu$  a model for  $I$ , and  $m$  a method with inputs  $X$  and outputs  $Y$ , for variables  $X, Y \subseteq V$ . The method  $m$  satisfies the constraint  $c$  in  $\mu$ , written  $m \models_{I,\mu,V} c$ , iff  $\llbracket c \rrbracket_{\mu, \llbracket m \rrbracket_{\mu,a}}$  holds for any allocation  $a \in A_{\mu,V}$ .

Thus constraint  $c$  holds for  $m$  in  $\mu$ . Note that holding means that  $m$  establishes  $c$  in one step.

The definition is equivalent to  $\llbracket m^{\phi V}(c) \rrbracket_{\mu,a}$  holding for any allocation  $a \in A_{\mu,V}$ , i.e.,  $\mu \models_{I,V} m^{\phi V}(c)$ , basically elevating the constraint satisfaction to a ‘‘proposition’’ that

should hold in the model. There is a rich literature and many tools, see for instance [20, 16], for proving propositions from known properties (axioms) of the model.

Such a property can also be tested by choosing allocations (values) for the variables. Testing helps increase confidence in the correctness of the code  $m$  related to the constraint  $c$ . Randomly selecting allocations is often an efficient approach to thorough testing [28]. In some circumstances a careful selection of test cases amounts to proving a proposition [29].

**Definition 4.2.** Let  $\mu$  be a model for an interface  $I$ . A (multiway) dataflow constraint is a tuple  $d = \langle V, c, M \rangle$  where

- $V$  are variables for  $I$ ,
- $c \in C_{I,V}$  is a constraint, and
- $M \subseteq S_{I,V}$  is a set of methods  $m$  on  $V$ .

A dataflow constraint is sound if all the methods  $m \in M$  satisfy  $c$  in a model  $\mu$ , i.e.,  $m \models_{I,\mu,V} c$ .

Let  $\text{var}$ ,  $\text{con}$  and  $\text{met}$  be the projections for dataflow constraints that return the variables  $V$ , the constraint  $c$  and the methods  $M$ , respectively. We denote by  $D_{I,V}$  the set of all dataflow constraints with variables  $V$  for interface  $I$ . The sound dataflow constraints on a model  $\mu$  for  $I$  are denoted by  $D_{I,V,\mu} \subseteq D_{I,V}$ .

**Example 4.3.** Assume the declarations and axioms for  $\leq$  from example 3.29. A dataflow constraint that maintains the relationship  $x \leq y$  between two variables  $x$  and  $y$  is:

$$R = \langle \{x : t, y : t\}, x \leq y, \{x := y, y := x, \text{if } x \leq y \text{ then skip else } x, y := y, x \text{ end}\} \rangle.$$

The first two methods satisfy  $c$  because  $x \leq x$  (reflexive), while the third satisfies  $c$  because  $x \leq y \mid y \leq x$  (connected).

We mentioned above that the set of input and output variables of a method do not have to be mutually exclusive. The values that a method computes to its output variables can depend on the previous values of those variables. We do not assume that such methods are idempotent. The following example demonstrates this.

**Example 4.4.** Assume a base API with integer operations and the integers as semantics. Define the dataflow constraint

$$\langle \{f_1 : \mathbf{int}, f_2 : \mathbf{int}\}, \text{TRUE}, \{f_1, f_2 := f_2, f_1 + f_2\} \rangle.$$

Executing the constraint's sole method repeatedly, from initial values  $f_1 = 0, f_2 = 1$ , leads to the variable  $f_1$  to go through the Fibonacci numbers.

#### 4.1. Dataflow Constraint Combinators

Dataflow constraint combinators allow us to combine dataflow constraints in the same way as we combine constraints: there are dataflow constraints for TRUE, FALSE, disjunction, sequencing, conjunction and implication. The combinators take into account combining sets of variables, combining the constraints and combining the methods of the involved dataflow constraints. The definitions below are not canonical, and other variations are possible. The combinators are defined to achieve many of the expected properties of logical combinators while being syntactically recognisable. For instance:

- When defining the disjunction of two dataflow constraints  $d_1, d_2$ , we take the disjunction of the two constraints  $\text{con}(d_1) \mid \text{con}(d_2)$  and union of the two method sets  $\text{met}(d_1) \cup \text{met}(d_2)$ . We could have included also two-sided sequencing of the two method sets  $\{(m_1; m_2), (m_2; m_1) \mid m_1 \in \text{met}(d_1), m_2 \in \text{met}(d_2)\}$ , but then we would not achieve associativity of the disjunction combinator. If we decide to use one-sided sequencing  $\{(m_1; m_2) \mid m_1 \in \text{met}(d_1), m_2 \in \text{met}(d_2)\}$  we lose commutativity.
- For sequencing of two dataflow constraints  $d_1, d_2$  we take the conjunction of the two constraints  $\text{con}(d_1) \& \text{con}(d_2)$  and the sequence of the two method sets  $\text{met}(d_1); \text{met}(d_2) = \{(m_1; m_2) \mid m_1 \in \text{met}(d_1), m_2 \in \text{met}(d_2)\}$  when the output variable of  $m_2$  does not modify  $\text{con}(d_1)$ . We could have relaxed this to also allow  $m_1; \text{if } m_2^\dagger(\text{con}(d_1)) \text{ then } m_2 \text{ else error end}$ , since we have no alternative for the **else** part. Now at runtime the planner could backtrack when reaching an error, but then the runtime efficiency guarantees of planners will change. Or we could prove that the condition  $m_2^\dagger(\text{con}(d_1))$  will hold in the selected model, and include the unconditional  $m_1; m_2$  in  $\text{met}(d_1 d_2)$ , but this requires a powerful proof system as part of a software tool for the sequence combinator, giving unpredictable compile times for dataflow constraint combinators.
- We could introduce additional syntactic constraints on methods, e.g., that output and input variable sets always have to be disjoint. This will have the beneficial effect of making method sequencing idempotent  $m; m = m$ , but would disallow sequencing two methods  $x := y + 5; y := x + 4$  since  $x, y := y + 5, y + 9$  is illegal.

That said, studying planners and their syntactic requirements may allow us to come up with more specialised variants of the dataflow constraint combinators with interesting and useful properties.

The following two dataflow constraints play an important role in establishing useful algebraic properties of constraint system combinators.

**Definition 4.5.** The dataflow constraint  $F = \langle \emptyset, \text{FALSE}, \emptyset \rangle$ . The dataflow constraint  $T = \langle \emptyset, \text{TRUE}, \{\varepsilon := \varepsilon\} \rangle$ .

We can now combine dataflow constraints and also adapt them to different use contexts.

**Proposition 4.6** (Trimming method sets). *Let  $d = \langle V, c, M \rangle$  be a sound dataflow constraint for model  $\mu$  for interface  $I$ , and  $M' \subseteq S_{I,V}$ .*

*Then  $d' = \langle V, c, M \setminus M' \rangle$  is also a sound dataflow constraint for model  $\mu$ .*

*Proof.* It is clear that  $d'$  is dataflow constraint with fewer methods than  $d$ , but each method in  $d'$  is also in  $d$ , so  $d'$  is also sound.  $\square$

**Theorem 4.7** (Substitution). *Let  $\mu$  be a model for an interface  $I$ ,  $d = \langle V, c, M \rangle$  a sound dataflow constraint for  $\mu$ , and  $s : V \rightarrow E_{I,W}$  a substitution where  $V, W$  are variables for  $I$ . Define  $s(d) = \langle W, s(c), M' \rangle$  where*

$$M' = \{s(m) \mid \text{for those } m \in M \text{ where } s \text{ is an injective renaming when limited to } \text{out}(m)\}.$$

*Then  $s(d)$  is a sound dataflow constraint for  $\mu$ .*

*Proof.* There are two cases to consider: (1) variables  $v$  that are being assigned to in  $m$ ,  $\dots, v, \dots := \dots, e, \dots$  and (2) variables  $x$  that are not being assigned to. Both  $v$  and  $x$  are possibly appearing on the right hand side of  $m$  and possibly used in  $c$ . The substitution will map variables  $v$  to variables  $v' = s(v)$ , and map  $x$  to expressions  $s(x)$  and expression  $e$  to expression  $s(e)$ . So in  $s(c)$  we will have  $v'$  in place of  $v$  and  $e'$  in place of  $e$ , and  $s(m)$  will have the form  $\dots, v', \dots := \dots, s(e), \dots$ . We know that  $m^{\Phi V}(c)$ , where  $v$  has been replaced by  $e$ , holds in  $\mu$ , and thus  $s(m^{\Phi V}(c))$ , where  $v$  has been replaced by  $s(e)$  and  $x$  has been replaced by  $s(x)$ , holds in  $\mu$ . In  $s(m)^{\Phi V}(s(c))$ ,  $v$  has been replaced by  $v'$  that is replaced by  $s(e)$  and  $x$  has been replaced by  $s(x)$ . Hence  $s(m)^{\Phi V}(s(c))$  holds in  $\mu$ , as claimed.  $\square$

The substitution theorem allows us to reuse existing dataflow constraints in new contexts. Here we remove methods rather than place conditions on  $s$ . If  $s$  is very well behaved, i.e., it only renames the output variables of the methods in  $M$ , then none of the methods will be removed.

**Proposition 4.8** (Disjunction). *Let  $\mu$  be a model for an interface  $I$  with variables  $V$ , and  $d_1 = \langle V_1, c_1, M_1 \rangle$  and  $d_2 = \langle V_2, c_2, M_2 \rangle$  be dataflow constraints, such that  $V_1, V_2 \subseteq V$ . Define  $d_1 | d_2 = \langle V_1 \cup V_2, c_1 | c_2, M_1 \cup M_2 \rangle$ .*

*If both  $d_1$  and  $d_2$  are sound in  $\mu$ , then  $d_1 | d_2$  is a sound dataflow constraint in  $\mu$ .*

*Proof.* The requirement for soundness is that any method establishes the constraint in one execution. This is clearly the case since the methods of  $d_1$  establish  $c_1$  and hence  $c_1 | c_2$ , and similarly for the methods of  $d_2$ .  $\square$

**Proposition 4.9** (Sequencing). *Let  $\mu$  be a model for an interface  $I$  with variables  $V$ , and  $d_1 = \langle V_1, c_1, M_1 \rangle$  and  $d_2 = \langle V_2, c_2, M_2 \rangle$  dataflow constraints, such that  $V_1, V_2 \subseteq V$ . Define  $d_1 ; d_2 = \langle V_1 \cup V_2, c_1 \& c_2, M' \rangle$  where  $M'$  is the set of methods  $m_1 ; m_2$  such that  $\text{out}(m_2) \cap \text{var}(c_1) = \emptyset$ , for all  $m_1 \in M_1$  and  $m_2 \in M_2$ .*

*If both  $d_1$  and  $d_2$  are sound in  $\mu$ , then  $d_1 ; d_2$  is a sound dataflow constraint in  $\mu$ .*

Note that we only allow methods in  $M'$  where the second method does not modify the satisfaction of the first constraint.

*Proof.* The methods of  $m_1; m_2 \in M'$  are such that  $m_1$  first establishes  $c_1$ , then  $m_2$  establishes  $c_2$  without modifying any values that are involved in  $c_1$ . Thus  $m_1; m_2$  establishes  $c_1 \& c_2$  as required.  $\square$

**Proposition 4.10** (Conjunction). *Let  $\mu$  be a model for an interface  $I$  with variables  $V$ , and  $d_1 = \langle V_1, c_1, M_1 \rangle$  and  $d_2 = \langle V_2, c_2, M_2 \rangle$  dataflow constraints, such that  $V_1, V_2 \subseteq V$ . Define  $d_1 \& d_2 = (d_1; d_2) | (d_2; d_1)$ .*

*If both  $d_1$  and  $d_2$  are sound in  $\mu$ , then  $d_1 \& d_2$  is a sound dataflow constraint in  $\mu$ .*

*Proof.* The methods from  $d_1; d_2$  establish  $c_1 \& c_2$ , and the methods from  $d_2; d_1$  establish  $c_2 \& c_1$ . The union of these methods thus establish the disjunction of the constraint, as required.  $\square$

Because  $(c_1 \& c_2) | (c_2 \& c_1) = c_1 \& c_2$ , the conjunctive combinator establishes the same constraint as the sequence combinator. It just provides a larger set of methods, since it combines the methods of  $m_1 \in M_1$  and  $m_2 \in M_2$  in both sequences  $m_1; m_2$  and  $m_2; m_1$ . The former sequence only includes method combinations where  $\text{out}(m_2) \cap \text{var}(c_1) = \emptyset$  and the latter only combinations where  $\text{out}(m_1) \cap \text{var}(c_2) = \emptyset$ .

**Proposition 4.11** (Implication). *Let  $\mu$  be a model for an interface  $I$  with variables  $V$ , and  $d_1 = \langle V_1, c_1, M_1 \rangle$  and  $d_2 = \langle V_2, c_2, M_2 \rangle$  dataflow constraints, such that  $V_1, V_2 \subseteq V$ . Define  $(d_1 \Rightarrow d_2) = \langle V_1 \cup V_2, c_1 \Rightarrow c_2, M_1 \cup (M_1; M_2) \cup M' \rangle$  where  $M_1; M_2 = \{m_1; m_2 \mid m_1 \in M_1, m_2 \in M_2\}$  and  $M' = \{\text{if } c_1 \text{ then } m_2 \text{ else skip end} \mid m_2 \in M_2\}$ .*

*If both  $d_1$  and  $d_2$  are sound in  $\mu$ , then  $d_1 \Rightarrow d_2$  is a sound dataflow constraint in  $\mu$ .*

*Proof.* First note that if  $c_2$  holds then  $c_1 \Rightarrow c_2$  holds, irrespective of the value of  $c_1$ . Thus all methods of  $M_2$  make the implication hold. Second note that all methods of  $M_1$  makes  $c_1$  hold, so they need to be followed by some method from  $M_2$  to make  $c_2$  and thus the implication hold. Thus all methods of  $M_1; M_2$  make the implication hold. Third note that if  $c_1$  holds a method from  $M_2$  must be executed, while if  $c_1$  does not hold, there is no need to update any variables. Thus all methods of  $M'$  make the implication hold.  $\square$

If we had a way of making  $!c_1$  hold, say a dataflow constraint  $d_3 = \langle V_1, !c_1, M'' \rangle$ , then we could add  $M''$  to the set of methods that makes  $c_1 \Rightarrow c_2$  hold since they invalidate the premise  $c_1$ . We are unable in general to automatically take a method that establishes  $c$  and create a method that establishes  $!c$ , and thus will not provide a negation combinator for dataflow constraints.

We now have matching combinators for all the positive logical connectives.

**Example 4.12.** From the dataflow constraint  $R$  in example 4.3 we can construct a dataflow constraint on three variables. Let  $s = [x \mapsto y, y \mapsto z]$  be a substitution. Applying the substitution to  $R$  gives us the dataflow constraint  $s(R) = \langle \{y : t, z : t\}, y \leq z, \{y := z, z := y, y, z := y \leq z ? y : z, y \leq z ? z : y\} \rangle$  after normalising the method to the

multiple assignment format.

$$\begin{aligned}
R;s(R) &= \langle \{x:t, y:t, z:t\}, x \leq y \ \& \ y \leq z, \\
&\quad \{(x, z := y, y), (y, z := x, x), \\
&\quad (x, y, z := x \leq y?x : y, x \leq y?y : x, y)\} \rangle, \\
s(R);R &= \langle \{x:t, y:t, z:t\}, y \leq z \ \& \ x \leq y, \\
&\quad \{(x, y := z, z), (x, z := y, y), \\
&\quad (x, y, z := y, y \leq z?y : z, y \leq z?z : y)\} \rangle \\
s(R)\&R &= \langle \{x:t, y:t, z:t\}, y \leq z \ \& \ x \leq y, \\
&\quad \{(x, y := z, z), (x, z := y, y), (y, z := x, x), \\
&\quad (x, y, z := x \leq y?x : y, x \leq y?y : x, y), \\
&\quad (x, y, z := y, y \leq z?y : z, y \leq z?z : y)\} \rangle.
\end{aligned}$$

**Example 4.13.** Here we show an example with a conjunction between two constraints that are inconsistent. Assume we have a type  $t$  with arithmetic and comparison operations.

$$\begin{aligned}
d_1 &= \langle \{x:t, y:t\}, x \leq y, \\
&\quad \{(x := y), (y := x), (x := y - 1), (\mathbf{if} \ x > y \ \mathbf{then} \ x, y := y, x \ \mathbf{else} \ \mathbf{skip} \ \mathbf{end})\} \rangle, \\
d_2 &= \langle \{x:t, y:t\}, x > y, \{(y := x - 1), (\mathbf{if} \ x \leq y \ \mathbf{then} \ x, y := y, x - 1 \ \mathbf{else} \ \mathbf{skip} \ \mathbf{end})\} \rangle
\end{aligned}$$

Let the global system have the variables  $x, y$ . The conjunction of these constraints yields

$$d_1 \& d_2 = \langle \{x:t, y:t\}, x \leq y \ \& \ x > y, \{\} \rangle.$$

Given normal integer semantics for  $t$  there is obviously no way of satisfying the combined constraint. The set of methods also reduce to the empty set since all output variables of the methods are used in the constraint. Thus soundness has been preserved by the conjunction.

**Example 4.14.** This is a variation of the above example, but here we use a substitution to achieve a consistent constraint when combining the two dataflow constraints. Assume we have the same type  $t$  and the same two dataflow constraints as above. Let the global system have the variables  $a, b : t$  and let  $s = [x \mapsto a, y \mapsto b]$  and  $s' = [x \mapsto b, y \mapsto a]$  be substitutions into the global variables  $a, b : t$ . The conjunction of the two constraints with their respective substitutions yields

$$s(d_1) \& s'(d_2) = \langle \{a:t, b:t\}, a \leq b \ \& \ b > a, \{\} \rangle.$$

Again we get an empty set of methods which ensures preservation of soundness. In this case, with standard integer semantics for  $t$ , we can actually satisfy the combined constraint, though our syntactic combinator rules cannot take this into account.

However, if we apply the the implication combinator, we get a more interesting result.

$$\begin{aligned}
& s(d_1) \Rightarrow s'(d_2) \\
& = \langle \{a : t, b : t\}, a \leq b \Rightarrow b > a, \{ \\
& \quad (a := b - 1), (\mathbf{if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end}), \\
& \quad (a := b; a := b - 1), \\
& \quad (b := a; a := b - 1), \\
& \quad (a := b - 1; a := b - 1), \\
& \quad (\mathbf{if } a > b \mathbf{ then } a, b := b, a \mathbf{ else skip end}; a := b - 1), \\
& \quad (a := b; \mathbf{if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end}), \\
& \quad (b := a; \mathbf{if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end}), \\
& \quad (a := b - 1; \mathbf{if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end}), \\
& \quad (\mathbf{if } a > b \mathbf{ then } a, b := b, a \mathbf{ else skip end}; \\
& \quad \quad \mathbf{if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end}), \\
& \quad (\mathbf{if } a \leq b \mathbf{ then } a := b - 1 \mathbf{ else skip end}), \\
& \quad (\mathbf{if } a \leq b \mathbf{ then if } b \leq a \mathbf{ then } b, a := a, b - 1 \mathbf{ else skip end else skip end}). \rangle \}.
\end{aligned}$$

Here we have three groups of methods: those from  $s'(d_2)$ , those from  $s(d_1); s'(d_2)$ , and finally the methods from  $s'(d_2)$  checked by the premise  $a \leq b$  from  $s(d_1)$ . With axioms giving properties of the model and proof support tools, we might analyse what is going on in more detail and simplify the resulting dataflow constraint system.

For some specific constraints for an interface  $I$  and variables  $V$ , such as the constraint  $c = (x_1 == e_1 \ \& \ \dots \ \& \ x_n == e_n) \in C_{I,V}$ , where  $e_1, \dots, e_n \in E_{I,Y}$  and  $X, Y \subseteq V$  for  $X = \{x_1, \dots, x_n\}$ , it is possible to generate a method  $m = (x_1, \dots, x_n := e_1, \dots, e_n)$ . This is, however, a one-way dataflow method from variables  $Y$  to variables  $X$  that does not give methods for dataflows into  $Y$ . Given specifications for  $I$ , e.g., that the expressions  $e_1, \dots, e_n$  are affine, it is possible to generate more methods. Exploring such possibilities builds on specifications with support tools. Though an interesting research direction, it is beyond the scope of this paper.

#### 4.2. Dataflow Constraint Combinator Properties

Here we look into properties of dataflow constraints, starting with how substitutions interact with dataflow constraints. Recall (definition 3.6) that substitutions form a monoid with substitution composition  $\circ$  as the binary associative operation and the identity substitution as the neutral element.

**Proposition 4.15** (Substitution is a monoid action on dataflow constraints). *Let  $I$  be an interface,  $V$  variables for  $I$ ,  $s_1, s_2, s : V \rightarrow E_{I,V}$  be substitutions, where  $[s]$  is the empty substitution, and  $d \in D_{I,V}$  a dataflow constraint. Then the following monoid action properties hold for substitutions applied to dataflow constraints.*

- The identity substitution  $s$  is neutral on dataflow constraints, i.e.,  $s(d) = d$ .

- Substitution composes on dataflow constraints, i.e.,  $(s_2 \circ s_1)(d) = s_2(s_1(d))$ .

The monoid action preserves soundness for  $d$ .

*Proof.* Follows by the definition of the identity substitution and of composition. Since substitution preserves soundness, the monoid action also preserves soundness.  $\square$

**Proposition 4.16** (Substitution distributes over dataflow constraint combinators). *Let  $V, W$  be variables for  $I$ ,  $s : V \rightarrow E_{I,W}$  be a substitution and  $d_1, d_2 \in D_{I,V}$  be dataflow constraints. Then the following distribution properties of substitution over dataflow constraint combinators hold.*

- $s(d_1|d_2) = s(d_1)|s(d_2)$
- $s(d_1;d_2) = s(d_1);s(d_2)$
- $s(d_1\&d_2) = s(d_1)\&s(d_2)$
- $s(d_1 \Rightarrow d_2) = s(d_1) \Rightarrow s(d_2)$ .

The distribution properties also preserve soundness.

*Proof.* Let  $V_1, V_2 \subseteq V$  be variables,  $d_1 = \langle V_1, c_1, M_1 \rangle$  and  $d_2 = \langle V_2, c_2, M_2 \rangle$  be dataflow constraints. Let  $W_1 = \text{var}(s(V_1))$  and  $W_2 = \text{var}(s(V_2))$ . For all the combinators  $\odot \in \{ |, ;, \&, \Rightarrow \}$ , variables  $\text{var}(s(\text{var}(d_1 \odot d_2))) = \text{var}(s(V_1 \cup V_2)) = W_1 \cup W_2 = \text{var}(s(V_1)) \cup \text{var}(s(V_2)) = \text{var}(s(d_1)) \cup \text{var}(s(d_2)) = \text{var}(s(d_1) \odot s(d_2))$ . For all the combinators  $\odot \in \{ |, \&, \Rightarrow \}$ , constraints  $\text{con}(s(d_1 \odot d_2)) = s(\text{con}(d_1 \odot d_2)) = s(\text{con}(d_1) \odot \text{con}(d_2)) = s(\text{con}(d_1)) \odot s(\text{con}(d_2))$ . For all combinators, the methods  $\text{met}(d_1 \odot d_2)$  do not conflict with the variables in the leftmost constraint, and after substitution, methods with assignment conflicts are also removed. On the other side, methods with assignment conflicts have been removed from  $\text{met}(s(d_1))$  and  $\text{met}(s(d_2))$ , and combining these further remove those methods that conflict with each other or with the leftmost constraint (after substitution).

Both the combinators and substitution preserve soundness individually, and hence in combination.  $\square$

**Proposition 4.17** (Disjunction combinator forms an idempotent commutative monoid). *Let  $d_1, d_2$  and  $d_3$  be dataflow constraints in  $D_{I,V}$ . Then the following idempotent commutative monoid properties hold in standard semantics.*

- $F|d_1 = d_1$
- $d_1|F = d_1$
- $(d_1|d_2)|d_3 = d_1|(d_2|d_3)$
- $d_1|d_2 = d_2|d_1$
- $d_1|d_1 = d_1$

These properties also preserve soundness.

*Proof.* Seen by expanding the components of the combined dataflow constraints on both sides of the equality. Note that the individual operations preserve soundness on both sides of the equality.  $\square$

**Proposition 4.18** (Sequencing combinator forms a monoid with annihilation). *Let  $d_1$ ,  $d_2$  and  $d_3$  be dataflow constraints in  $D_{I,V}$ . Then the following monoid properties with annihilation hold in standard semantics.*

- $T; d_1 = d_1$
- $d_1; T = d_1$
- $(d_1; d_2); d_3 = d_1; (d_2; d_3)$
- $F; d_1 = F$
- $d_1; F = F$

*The monoid properties also preserve soundness.*

*Proof.* Seen by expanding the components of the combined dataflow constraints on both sides of the equality. Note that the individual operations preserve soundness on both sides of the equality.  $\square$

**Proposition 4.19** (Conjunction combinator forms a commutative monoid with annihilation). *Let  $d_1$ ,  $d_2$  and  $d_3$  be dataflow constraints in  $D_{I,V}$ . Then the following commutative monoid with annihilation properties hold in standard semantics.*

- $T \& d_1 = d_1$
- $d_1 \& T = d_1$
- $(d_1 \& d_2) \& d_3 = d_1 \& (d_2 \& d_3)$
- $d_1 \& d_2 = d_2 \& d_1$
- $F \& d_1 = F$
- $d_1 \& F = F$

*The monoid properties also preserve soundness.*

*Proof.* Seen by expanding the components of the combined dataflow constraints on both sides of the equality. Note that the individual operations preserve soundness on both sides of the equality.  $\square$

**Proposition 4.20** (Distributivity of sequencing and conjunction combinators over disjunction combinator). *Let  $d_1$ ,  $d_2$  and  $d_3$  be dataflow constraints in  $D_{I,V}$ . Then the following distributivity properties hold.*

- $(d_1 | d_2); d_3 = (d_1; d_3) | (d_2; d_3)$
- $d_1; (d_2 | d_3) = (d_1; d_2) | (d_1; d_3)$

- $(d_1|d_2)\&d_3 = (d_1\&d_3)|(d_2\&d_3)$

The distributivity properties also preserve soundness.

*Proof.* Seen by expanding the components of the combined dataflow constraints on both sides of the equality. Note that the individual operations preserve soundness on both sides of the equality.  $\square$

This establishes similar combinator laws for dataflow constraints as we have for logical combinators. The major exception is the distributivity of conjunction over disjunction  $(d_1\&d_2)|d_3 = (d_1|d_3)\&(d_2|d_3)$ , which does not hold.

The following two facts relate dataflow combinators to the semiring concept 3.31.

**Fact 4.21** (The sequencing combinator gives an idempotent semiring). *The dataflow combinators define an idempotent semiring by sequencing for `mult` with `T` for one and disjunction for `plus` with `F` for zero .*

**Fact 4.22** (The conjunction combinator gives an idempotent commutative semiring). *The dataflow combinators define an idempotent commutative semiring by conjunction for `mult` with `T` for one and disjunction for `plus` with `F` for zero .*

On a case by case basis we may come up with more combinators than those above. For instance, assume two dataflow constraints  $d_1 = \langle V_1, c, P_1 \rangle$  and  $d_2 = \langle V_2, c, P_2 \rangle$  that have the same constraint  $c$  and their combination  $d = \langle V_1 \cup V_2, c, M' \rangle$ , where  $M' = \text{met}((d_1\&d_2)|d_1|d_2)$ . The combined dataflow constraint has a larger set of methods for solving the same problem, given by the constraint  $c$ .

## 5. UI Examples

Prior to fitting our constraint systems semantics to the institution settings, the topic of the next section, we relate the constructions thus far to practical programming. We have achieved the following: for an interface  $I$  with model  $\mu$  we can flexibly combine independently developed dataflow constraints  $d_1 = \langle V_1, c_1, M_1 \rangle, \dots, d_n = \langle V_n, c_n, M_n \rangle$ .

First we need a common set of variables  $V$  and for each  $d_i$  a substitution  $s_i : V_i \rightarrow E_{I,V}$ . This aligns all constraints on the same set of variables, possibly with local transformations on each constraint system's methods and local constraints. If each transformation is sufficiently well behaved, i.e., they are mostly renamings on each  $d_i$ 's output variables, the resulting constraint system will have enough methods to satisfy the desired constraints efficiently.

We demonstrate with the familiar image scaling GUI example how substitution and composing (with conjunction) of dataflow constraints enable reuse when constructing constraint systems.

**Example 5.1.** In our example GUI for scaling an image, the user can specify the width of the image either as the number of pixels  $w_a$  or as a scaling factor  $w_r$  to be applied to the initial width  $w_i$  of the image; and similarly for the corresponding height variables  $h_i, h_a$ , and  $h_r$ . The two dataflow constraints, one between  $w_a, w_i$ , and  $w_r$ , and the

other between  $h_a$ ,  $h_i$ , and  $h_r$ , can be constructed by substitution from the same dataflow constraint

$$G = \langle \{v_i : \mathbf{int}, v_a : \mathbf{int}, v_r : \mathbf{float}\}, \lfloor v_i v_r \rfloor == v_a, \{(v_a := \lfloor v_i v_r \rfloor), (v_r := v_a / v_i)\} \rangle.$$

We assume normal semantics for the types and operations and that  $v_i \neq 0$ . The substitutions  $s_w = [v_i \mapsto w_i, v_a \mapsto w_a, v_r \mapsto w_r]$  and  $s_h = [v_i \mapsto h_i, v_a \mapsto h_a, v_r \mapsto h_r]$  maps  $G$  to the global variables  $w_i, w_a, w_r, h_i, h_a, h_r$  as desired. The conjunction of these dataflow constraints is a dataflow constraint whose constraint defines when an image scaling GUI is in a consistent state and whose methods provide the means to bring the GUI into such a state:

$$\begin{aligned} s_w(G) \ \& \ s_h(G) = \langle \{w_i : \mathbf{int}, w_a : \mathbf{int}, w_r : \mathbf{float}, h_i : \mathbf{int}, h_a : \mathbf{int}, h_r : \mathbf{float}\}, \\ & \lfloor w_i w_r \rfloor == w_a \ \& \ \lfloor h_i h_r \rfloor == h_a, \\ & \{(w_a, h_a := \lfloor w_i w_r \rfloor, \lfloor h_i h_r \rfloor), \\ & \quad (w_a, h_r := \lfloor w_i w_r \rfloor, h_a / h_i), \\ & \quad (w_r, h_a := w_a / w_i, \lfloor h_i h_r \rfloor), \\ & \quad (w_r, h_r := w_a / w_i, h_a / h_i)\} \rangle. \end{aligned}$$

Here we see that the first method  $(w_a, h_a := \lfloor w_i w_r \rfloor, \lfloor h_i h_r \rfloor)$  follows automatically from the constraint  $\lfloor w_i w_r \rfloor == w_a \ \& \ \lfloor h_i h_r \rfloor == h_a$ . Since the constraint is affine, we could conceivably use a computer algebra tool to also generate the remaining methods.

Assume now a GUI otherwise the same, except for requiring that the width and height are scaled by the same factor  $r$ . Thus, the global variables are  $w_i, w_a, h_i, h_a, r$ . A suitable dataflow constraint for this GUI is attained by using slightly different substitutions. Let  $r_w = [v_i \mapsto w_i, v_a \mapsto w_a, v_r \mapsto r]$  and  $r_h = [v_i \mapsto h_i, v_a \mapsto h_a, v_r \mapsto r]$ . Then

$$\begin{aligned} r_w(G) \ \& \ r_h(G) = \langle \{w_i : \mathbf{int}, w_a : \mathbf{int}, h_i : \mathbf{int}, h_a : \mathbf{int}, r : \mathbf{float}\}, \\ & \lfloor w_i r \rfloor == w_a \ \& \ \lfloor h_i r \rfloor == h_a, \\ & \{(w_a, h_a := \lfloor w_i r \rfloor, \lfloor h_i r \rfloor), (w_a, r := \lfloor w_i (h_a / h_i) \rfloor, h_a / h_i), \\ & \quad (h_a, r := \lfloor h_i (w_a / w_i) \rfloor, w_a / w_i)\} \rangle. \end{aligned}$$

The same system could also be obtained with the substitution  $r_r = [w_r \mapsto r, h_r \mapsto r]$  as  $\lceil r_a \rceil_{\{w_i, w_a, h_i, h_a\}}(s_w(G) \ \& \ s_h(G))$ .

We now give a second example on modeling and implementing a GUI as a dataflow constraint. The focus is on dynamic composition of GUI fragments and reasoning about global properties that should hold in such compositions.

**Example 5.2.** See Figure 3 for a GUI for scheduling a conference day, where the day starts at time  $S$  and ends at time  $E$ , and each *agenda item*  $i$  has a start time  $s_i$ , duration  $d_i$ , and end time  $e_i$ . An agenda item must satisfy  $e_i == s_i + d_i$ ; we assume the base API defines  $+$  for adding a duration to time (and similarly  $-$  for subtracting), and also overloads  $+$  for summing two durations. A dataflow constraint for an agenda item would have at least a method that computes  $e$  from  $s$  and  $d$ , but depending on

the desired GUI functionality, possibly also one that computes  $s$  from  $e$  and  $d$ . In this example we choose the latter, and the dataflow constraint for agenda items is

$$D_1 = \langle \{s : \text{date}, d : \text{duration}, e : \text{date}\}, e == s + d, \{e := s + d, s := e - d\} \rangle.$$

Each consecutive pair of agenda items must satisfy,  $e_i == s_{i+1}$ . Again, based on the desired functionality, whether earlier agenda items should be adjusted after changes on later ones, the dataflow constraint that connects two adjacent agenda items may have one or two methods. We again choose the design where data can flow to both directions:

$$D_2 = \langle \{e_{\text{prev}}, s_{\text{next}}\}, e_{\text{prev}} == s_{\text{next}}, \{s_{\text{next}} := e_{\text{prev}}, s_{\text{prev}} := e_{\text{next}}\} \rangle.$$

Finally, the first (index 0) and last (index  $n$ ) agenda items must satisfy  $s_0 == S$  and  $e_n == E$ . Suitable substitutions on  $C_2$  gives dataflow constraints that can model these dependencies.

Assuming the program variables  $S$  and  $E$ , as well as  $s_i$ ,  $d_i$ , and  $e_i$  for  $i = 0, \dots, n$ , are bound to GUI widgets appropriately, we get a well-behaved GUI by constructing the following new dataflow constraints via substitution:

$$\begin{aligned} K_S &= [e_{\text{prev}} \mapsto S, s_{\text{next}} \mapsto s_0](D_2), \\ K_E &= [e_{\text{prev}} \mapsto e_n, s_{\text{next}} \mapsto E](D_2), \\ A_i &= [s \mapsto s_i, d \mapsto d_i, e \mapsto e_i](D_1), \text{ for all } i = 0, \dots, n, \\ R_i &= [e_{\text{prev}} \mapsto e_i, s_{\text{next}} \mapsto s_{i+1}](D_2), \text{ for all } i = 0, \dots, n-1. \end{aligned}$$

Further, our semantics of dataflow constraints allows for easy reasoning about invariants that the conjunction of the constraints maintain. For example, a correct GUI always satisfies  $S + d_0 + \dots + d_n == E$ . Each conjunction  $L == A_i \& R_i$  gives the constraint  $e_i == s_i + d_i \& s_{i+1} == e_i$ , which simplifies to  $s_{i+1} == s_i + d_i$ . Easy inductive argument shows that in the conjunction  $L_0 \& \dots \& L_{n-1}$ ,  $s_n == s_0 + \sum_{i=0, \dots, n-1} d_i$  holds. The invariant then follows directly from the conjunction  $K_S \& (L_0 \& \dots \& L_{n-1}) \& A_n \& K_E$ .

The above example is rather simple, but it highlights how fragments of GUI logic compose, and how the programmer can be assured that the composition is well-behaved. We implemented the example using our constraint system based GUI library Hot-Drink [24]; Figure 3 shows a snapshot. Users can add, remove, and reorder agenda items at will, but as these operations are defined in terms of the above substitutions, we can be certain that the invariant is respected.

## 6. Institution based Module System

An institution [13] is a framework for relating syntax, specifications and models. It can be interpreted as a framework for modular reuse of specification and code. We use this interpretation to formalise a module framework for constraint systems. The restrictions the institution concept places ensures that such a module system will be very well behaved, yet have significantly more powerful reuse mechanisms, namely signature

Starting time:  End time:

	Title	Begin	End	Duration	
1	<input type="text" value="Registration"/>	8:00	8:30	30 min	[X]
2	<input type="text" value="Keynote"/>	8:30	9:30	60 min	[X]
3	<input type="text" value="Break"/>	9:30	9:45	15 min	[X]
4	<input type="text" value="Session I"/>	9:45	11:15	90 min	[X]
				195 min	

Figure 3: A GUI implemented as a dynamic composition of fragments of GUI logic represented with dataflow constraints.

morphisms, than standard module systems. In addition, the institution framework allows the use of institution-independent tools, such as the institution-independent specification structuring mechanisms [30] and syntactic theory functors for reuse and growing institutions piecemeal [31]. Institution theory is very general, phrased in terms of categories, functors and a satisfaction relation. We will give a short overview of the needed concepts.

Many authors have investigated relationships between institutions, e.g., reuse based on theoroidal comorphisms (formerly called maps of institutions) [32, 33]. Most of this work is tied to logical frameworks, in line with Goguen and Burstall’s original motivation for institutions to provide a framework for studying logical model-theory [13]. It is beyond the scope of this paper to cover these topics.

Multiway dataflow constraint systems are built by coordinating individual dataflow constraints on a set of global variables. A modular reuse system needs to place the individual dataflow constraints appropriately in the global systems. Central is the mapping of local variables from each dataflow constraint to the global setting.

In the next subsection we define the notions of categories and functors that we need. All the needed building blocks have already been presented. Then we define the notion of institution, and instantiate that as a modular framework for constraints and dataflow constraints.

### 6.1. Categories for constraint systems

As a reminder, a *category* consists of objects and morphisms. Morphisms connect two objects: the source and the target. Every object  $A$  has an identity morphism  $\text{id}_A : A \rightarrow A$ . Every two morphisms  $f : A \rightarrow B$  and  $g : B \rightarrow C$  can be composed to a morphism  $f;g : A \rightarrow C$ . The identity morphism is the identity for composition, i.e., for  $f : A \rightarrow B$ , we have that  $\text{id}_A;f = f$  and  $f;\text{id}_B = f$ . Composition is associative, i.e., for  $f : A \rightarrow B$ ,  $g : B \rightarrow C$  and  $h : C \rightarrow D$ ,  $(f;g);h = f;(g;h)$ . The canonical example of categories is **Set** that has sets as objects, total functions between sets as morphisms, identity functions on sets as identity morphisms, and function composition as composition of morphisms.

**Definition 6.1.** For an interface  $I$ , model  $\mu$  and variables  $V$  for  $I$ , let  $A_{\mu,V}$  be the set of allocations from  $V$  to  $\mu$ . The category  $\mathbf{All}_{I,V,\mu}$  is defined by

- objects: the powerset of  $A_{\mu,V}$ , i.e., every subset  $A \subseteq A_{\mu,V}$  is an object,

- morphisms: a single morphism  $\text{id}_A : A \rightarrow A$  for every  $A \subseteq A_{\mu,V}$ ,
- identity morphism: the single morphism  $\text{id}_A : A \rightarrow A$  has to be the identity morphism for the object  $A \subseteq A_{\mu,V}$ ,
- composition: for every morphism  $\text{id}_A : A \rightarrow A$ , the composition  $\text{id}_A; \text{id}_A = \text{id}_A$  (the only possible choice).

**Definition 6.2.** For an interface  $I$ , the category **Subvar** $_I$  is defined by

- objects: an object for every collection of variables  $V$ ,
- morphisms from object  $V$  to  $V'$ : all substitutions  $s : V \rightarrow E_{I,V'}$  from  $V$  to expressions on  $V'$ ,
- identity morphism on  $V$ : the identity substitution on variables  $V$ , and
- composition: composition of substitutions.

Here we see that a morphism does not have to be a function between two objects. The substitutions take us from variables to expressions, but have an associative composition operation with the identity substitution as the neutral element, as required for a category.

We may construct categories with different objects but from the same morphisms as above.

**Definition 6.3.** For an interface  $I$ , the category **Con** $_I$  is defined by

- objects: an object is the set of all constraints  $C_{I,V}$  for every collection of variables  $V$ ,
- morphisms from object  $C_{I,V}$  to  $C_{I,V'}$ : all substitutions  $s : V \rightarrow E_{I,V'}$  interpreted as substitutions on constraints,
- identity morphism on  $C_{I,V}$ : the identity substitution on variables  $V$ , and
- composition: composition of substitutions.

Given an element  $c \in C_{I,V}$ , the substitution maps it to an element  $s(c) \in C_{I,V'}$ . In this case we have that the morphisms actually are functions from constraints to constraints, with function composition as morphism composition. Thus **Con** $_I$  is a subcategory of **Set**, written as **Con** $_I \subseteq \mathbf{Set}$ .

**Definition 6.4.** For an interface  $I$ , the category **DFC** $_I$  is defined by

- objects: an object is the set of all dataflow constraints  $D_{I,V}$  for every collection of variables  $V$ ,
- morphisms from object  $D_{I,V}$  to  $D_{I,V'}$ : all substitutions  $s : V \rightarrow E_{I,V'}$  interpreted as substitutions on dataflow constraints,
- identity morphism on  $D_{I,V}$ : the identity substitution on variables  $V$ , and

- composition: composition of substitutions.

Here we also map sets to sets using the substitution function, thus  $\mathbf{DFC}_I \subseteq \mathbf{Set}$ .

Another twist on making categories is the dual (or opposite) category. A dual category has the same objects and morphisms as the original category, but the morphisms have swapped source and target nodes (so the direction of composition also changes). Recall that methods behave dually to substitutions, with composition in the opposite order. Thus reversing the arrows of the above categories is replacing every substitution  $s$  by its dual method  $s^\ominus$ , and we achieve dual categories. In general, dual categories can be constructed even if the reversed morphism does not have a reasonable interpretation. We can always restore the original category by taking the dual of the dual category; in the example case this is by going back from methods to substitutions.

## 6.2. Functors

A functor is a mapping between categories. Given two categories  $\mathbf{C}$  and  $\mathbf{D}$ , a *functor*  $F : \mathbf{C} \rightarrow \mathbf{D}$  maps objects to objects and morphisms to morphisms, preserving identity morphisms and composition: for every object  $A$  in  $\mathbf{C}$ ,  $F(\text{id}_A) = \text{id}_{F(A)}$ , and for every morphism  $f : A \rightarrow B$  and  $g : B \rightarrow C$  in  $\mathbf{C}$ ,  $F(f;g) = F(f);F(g)$ .

For example, we have a functor  $\text{con}_I : \mathbf{Subvar}_I \rightarrow \mathbf{Con}_I$ , given by  $\text{con}_I(V) = C_{I,V}$ , which maps a collection of variables to the constraints on those variables, and  $\text{con}_I(s : V \rightarrow V') = s : C_{I,V} \rightarrow C_{I,V'}$ , which maps the substitution on variables to the substitution on the constraints. Likewise we have a functor  $\text{dfc}_I : \mathbf{Subvar}_I \rightarrow \mathbf{DFC}_I$  which takes variables  $V$  for  $I$  to dataflow constraints  $D_{I,V}$ , and maps substitutions in  $\mathbf{Subvar}_I$  to substitutions in  $\mathbf{DFC}_I$ . Interestingly, we also have functor  $\text{con}_I : \mathbf{DFC}_I \rightarrow \mathbf{Con}_I$  which extracts the constraint  $\text{con}(d)$  from every dataflow constraint  $d \in D_{I,V}$ . Such a functor is called forgetful, since it forgets some of the structure of the source when mapping to the target.

We can define a functor  $\text{all}_s : \mathbf{All}_{I,V',\mu} \rightarrow \mathbf{All}_{I,V,\mu}$  for a substitution  $s : V \rightarrow E_{I,V'}$  on variables. For an allocation of variables  $a' \in A_{\mu,V'}$ , we can define an allocation  $a \in A_{\mu,V}$  by  $a(v) = \llbracket s(v) \rrbracket_{\mu,a'}$  for every  $v \in V$ .

- For an object  $A'$  in  $\mathbf{All}_{I,V',\mu}$ , i.e.,  $A' \subseteq A_{\mu,V'}$ , define the set of allocations  $\text{all}_s(A') = \{a \in A_{\mu,V} \mid a(v) = \llbracket s(v) \rrbracket_{\mu,a'} \text{ for every } v \in V, a' \in A'\}$ , i.e.,  $\text{all}_s(A')$  is an object of  $\mathbf{All}_{I,V,\mu}$ .
- An identity mapping  $\text{id}_{A'}$  is mapped to the identity mapping  $\text{id}_{\text{all}_s(A')}$  by  $\text{all}_s(\text{id}_{A'})$ , and
- composition in  $\mathbf{All}_{I,V',\mu}$  is mapped to composition in  $\mathbf{All}_{I,V,\mu}$  by  $\text{all}_s$ , basically since there is no other choice.

Now functors compose by the composition of mapping objects to objects and morphisms to morphisms, and the combined mapping obeys the functor requirements. This also admits an identity functor, mapping a category to itself, by mapping each object and each morphism to itself. It is now possible to define a category where the objects are the categories and the morphisms are the functors. This category is referred to as **CAT**.

**Definition 6.5.** For an interface  $I$  with model  $\mu$ , define the category  $\mathbf{All}_{I,\mu}$  by

- objects: the categories  $\mathbf{All}_{I,V,\mu}$  for every collection of variables for  $I$ ,
- morphisms from object  $\mathbf{All}_{I,V',\mu}$  to  $\mathbf{All}_{I,V,\mu}$ : the functors  $\text{all}_s : \mathbf{All}_{I,V',\mu} \rightarrow \mathbf{All}_{I,V,\mu}$  for every substitution  $s : V \rightarrow E_{I,V'}$ ,
- identity morphism on  $\mathbf{All}_{I,V',\mu}$ : the identity functor on  $\mathbf{All}_{I,V',\mu}$ ,
- composition: the composition of functors  $\text{all}_{s'} : \mathbf{All}_{I,V'',\mu} \rightarrow \mathbf{All}_{I,V',\mu}$  with  $\text{all}_s : \mathbf{All}_{I,V',\mu} \rightarrow \mathbf{All}_{I,V,\mu}$  is the functor  $\text{all}_{s;s'} : \mathbf{All}_{I,V'',\mu} \rightarrow \mathbf{All}_{I,V,\mu}$ .

We see that  $\mathbf{All}_{I,\mu} \subseteq \mathbf{CAT}$ .

The astute reader will notice that we can define a functor  $\text{all} : \mathbf{Subvar}_I^{\text{op}} \rightarrow \mathbf{All}_{I,\mu}$ . It goes from the dual of the category of variables and substitutions to the category of categories of allocations. A functor from the dual category is called a *contravariant functor* to draw attention to this fact.

### 6.3. Institutions for constraints

**Definition 6.6.** An institution  $IN\mathcal{S}T$  consists of

- a category  $\mathbf{Sig}$  of signatures,
- a functor  $\text{spec} : \mathbf{Sig} \rightarrow \mathbf{Set}$  of specifications
- a contravariant functor  $\text{mod} : \mathbf{Sig}^{\text{op}} \rightarrow \mathbf{CAT}$  of models,
- a satisfaction relation  $\models$  which for every object  $A$  of  $\mathbf{Sig}$  defines a relation  $\models_A \subseteq \text{mod}(A) \times \text{spec}(A)$ ,

such that the satisfaction condition holds: for every morphism  $f : A \rightarrow A'$  in  $\mathbf{Sig}$ ,

$$\mu' \models_{A'} (\text{spec}(f : A \rightarrow A'))(c) \iff (\text{mod}(f^{\text{op}} : A' \rightarrow A))(\mu') \models_A c,$$

where  $\mu'$  is an object of  $\text{mod}(A')$  (model), and  $c \in \text{spec}(A)$  (specification).

We can now put together our categories and functors and define the institution of constraints. To ease reading of quantified formulas, we use  $\cdot$  as a separator between the declaration of the quantified set  $X$  and the predicate  $p$ , thus we consider  $\forall x \in X \cdot p$  as being clearer than  $\forall x : X p$  or  $\forall(x : X)p$  especially when  $x : X$  is a large expression.

**Definition 6.7.** The institution  $CO\mathcal{N}S_{I,\mu}$  of constraints and allocations for an interface  $I$  with model  $\mu$  consists of

- the category  $\mathbf{Subvar}_I$  of variables and substitutions,
- the functor  $\text{con}_I : \mathbf{Subvar}_I \rightarrow \mathbf{Con}_I$  of constraints and substitutions,
- the contravariant functor  $\text{all}_{I,\mu} : \mathbf{Subvar}_I^{\text{op}} \rightarrow \mathbf{All}_{I,\mu}$  of allocations from variables to  $\mu$ ,

- the  $V$ -indexed satisfaction relations  $\models_{I,\mu,V} \subseteq \text{all}_{I,\mu}(V) \times \text{con}_I(V)$  for variables  $V$  as an object of **Subvar** $_I$ , given by

$$A \models_{I,\mu,V} c \iff \forall (a : V \rightarrow \mu) \in A \cdot \llbracket c \rrbracket_{\mu,a}$$

for all allocations  $a : V \rightarrow \mu$  in object  $A$  of  $\mathbf{All}_{I,V,\mu} = \text{all}_{I,\mu}(V)$  and constraint  $c \in C_{I,V}$  where  $C_{I,V} = \text{con}_I(V)$ .

**Theorem 6.8.** *The claim that  $\text{CON}_{I,\mu}$  is an institution holds.*

*Proof.* The category  $\mathbf{Con}_I \subseteq \mathbf{Set}$  and the category  $\mathbf{All}_{I,\mu} \subseteq \mathbf{CAT}$ , ensuring the functors have correct codomains. For a substitution  $s : V \rightarrow E_{I,V'}$ , we must show that for every object  $A' \in \mathbf{All}_{I,V',\mu} = \text{all}_{I,\mu}(V')$ , i.e.,  $A' \subseteq A_{I,V'}$ , and every constraint  $c \in C_{I,V} = \text{con}_I(V)$

$$A' \models_{I,\mu,V'} (\text{con}_I(s : V \rightarrow E_{I,V'})) (c) \iff (\text{all}_{I,\mu}(s^\ominus : E_{I,V'} \rightarrow V)) (A') \models_{I,\mu,V} c,$$

which simplifies to (by expanding the definitions)

$$\begin{aligned} A' \models_{I,\mu,V'} (\text{con}_I(s : V \rightarrow E_{I,V'})) (c) &\iff \forall (a' : V' \rightarrow \mu') \in A' \cdot \llbracket s(c) \rrbracket_{\mu,a'}, \\ (\text{all}_{I,\mu}(s^\ominus : E_{I,V'} \rightarrow V)) (A') \models_{I,\mu,V} c &\iff \forall (a : V \rightarrow \mu) \in \{\llbracket s \rrbracket_{\mu,a'} \mid a' \in A'\} \cdot \llbracket c \rrbracket_{\mu,a} \end{aligned}$$

respectively. Thus, we must have

$$\begin{aligned} \forall (a' : V' \rightarrow \mu') \in A' \cdot \llbracket s(c) \rrbracket_{\mu,a'} &\iff \forall (a : V \rightarrow \mu) \in \{\llbracket s \rrbracket_{\mu,a'} \mid a' \in A'\} \cdot \llbracket c \rrbracket_{\mu,a}, \\ \forall (a' : V' \rightarrow \mu') \in A' \cdot \llbracket s(c) \rrbracket_{\mu,a'} &\iff \forall (a' : V' \rightarrow \mu') \in A' \cdot \llbracket c \rrbracket_{\mu,\llbracket s \rrbracket_{\mu,a'}}, \end{aligned}$$

but since  $\llbracket s(c) \rrbracket_{\mu,a'} = \llbracket c \rrbracket_{\mu,\llbracket s \rrbracket_{\mu,a'}}$  the claim holds.  $\square$

**Definition 6.9.** The institution  $\mathcal{DF} C_{I,\mu}$  of dataflow constraints and allocations for an interface  $I$  with model  $\mu$  consists of

- the category **Subvar** $_I$  of variables and substitutions,
- the functor  $\text{dfc}_I : \mathbf{Subvar}_I \rightarrow \mathbf{DFC}_I$  of dataflow constraints and substitutions,
- the contravariant functor  $\text{all}_{I,\mu} : \mathbf{Subvar}_I^{\text{op}} \rightarrow \mathbf{All}_{I,\mu}$  of allocations from variables to  $\mu$ ,
- the  $V$ -indexed satisfaction relations  $\models_{I,\mu,V} \subseteq \text{all}_{I,\mu}(V) \times \text{dfc}_I(V)$  for variables  $V$  as an object of **Subvar** $_I$ , given by

$$A \models_{I,\mu,V} d \iff \forall (a : V \rightarrow \mu) \in A, m \in \text{met}(d) \cdot \llbracket \text{con}(d) \rrbracket_{\mu,\llbracket m \rrbracket_{\mu,a}}$$

for all allocations  $a : V \rightarrow \mu$  in object  $A$  of  $\mathbf{All}_{I,V,\mu} = \text{all}_{I,\mu}(V)$  and constraint  $d \in D_{I,V}$  where  $D_{I,V} = \text{dfc}_I(V)$ .

**Theorem 6.10.** *The claim that  $\mathcal{DF} C_{I,\mu}$  is an institution holds.*

*Proof.* The proof follows the same outline as for  $\text{CON}_{I,\mu}$  since we have the same properties for dataflow constraints as we have for constraints.  $\square$

If in a model  $\mu$  for  $I$ , a dataflow constraint  $d \in D_{I,V}$  holds for  $A_{\mu,V}$ , i.e.,  $A_{\mu,V} \models_{I,\mu,V} d$  then it is sound.

## 7. Constraint Systems

A *multiway dataflow constraint system*, *constraint system* for short, is a collection of dataflow constraints and a goal. The purpose is to let a planner work with the local methods for each dataflow constraint in order to achieve the global goal.

**Definition 7.1.** Let  $I$  be an interface.

A *constraint system* is a triple  $\langle V, c, D \rangle$  where  $V$  are variables for  $I$ ,  $c \in C_{I,V}$  is a constraint called the constraint system's *goal*, and  $D$  is a set of dataflow constraints where for each  $d \in D$  the variables  $\text{var}(d) \subseteq V$ .

The *meaning* of a constraint system  $\llbracket \langle V, c, D \rangle \rrbracket = \&_{d \in D} d$ , the dataflow constraint being the conjunction of all dataflow constraints in  $D$ .

The global variables  $V$  for a constraint system coordinate all its parts. Each of the dataflow constraints handle a local set of variables, related to the global set by an inclusion. The meaning of the constraint system is a syntactic translation to a dataflow constraint. The semantics of the latter give a semantics for the former.

A planner selects methods from  $\text{met}(\cup_{d \in D} d)$  repeatedly in order to achieve the goal  $c$ . To facilitate planning, a planner algorithm imposes its own wellformedness requirements on the contained dataflow constraints. One requirement might be that if two dataflow constraints  $d, d' \in D$  have the same set of variables  $\text{var}(d) = \text{var}(d')$  then  $d = d'$ , and that for every  $d \in D$  whenever two methods  $m, m' \in \text{met}(d)$  have the same set of output variables  $\text{out}(m) = \text{out}(m')$  then  $m = m'$ . The so called *method restriction* [34, p. 56] requires even that there are no two methods  $m, m' \in \text{met}(d)$  such that  $\text{out}(m) \subseteq \text{out}(m')$ . Assuming method restriction, there are planners with polynomial worst-case time complexity [35]. These syntactically detectable restrictions ensures planner will always terminate, even if the sets of methods contain other errors or are not compatible with their stated constraint. In fact most work on planners omit explicit constraints and just focus on the set of methods  $\text{met}(\cup_{d \in D} d)$ , thus ignoring the ability to verify the constraint system against an explicitly stated goal.

**Definition 7.2.** Let  $I$  be an interface and  $\mu$  a model for  $I$ .

A constraint system  $\langle V, c, D \rangle$  is *sound* in  $\mu$  when

1. each  $d \in D$  is sound in  $\mu$ , and
2.  $\text{con}(\&_{d \in D} d) \models_{I, \mu} c$ .

For a sound constraint system the participating dataflow constraints each contribute towards the goal. A sound constraint system  $\langle V, c, D \rangle$  is *comprehensive* in  $\mu$  when for all allocations  $a \in A_{\mu, V}$  there exists a method  $m \in \text{met}(\&_{d \in D} d)$  such that  $\llbracket c \rrbracket_{\mu, [m]_{\mu, a}}$  holds. Ideally a planner's wellformedness requirements should imply that the constraint system is comprehensive. If the constraint system is not comprehensive, the planner may be unable to select any method from  $\text{met}(\cup_{d \in D} d)$  to achieve progress. This may happen if the dataflow constraint combinators end up with an empty set of methods. Also in this situation the planner will terminate, but without meeting its goal. We will not discuss this further here.

We present three reuse mechanisms for constraint systems: substitution for adapting to a different set of global variables, and conjunction and disjunction for combining constraint systems. We also have two specific constraint systems.

**Definition 7.3.** The constraint system true CST =  $\langle \emptyset, \text{TRUE}, \{T\} \rangle$  based on the dataflow constraint  $T$ .

The constraint system false CSF =  $\langle \emptyset, \text{FALSE}, \{\} \rangle$ .

These two constraint systems are both sound and comprehensive for any staid interface since the set of variables is empty.

**Definition 7.4.** Let  $I$  be an interface and  $V, W$  be variables for  $I$ .

Reuse of a constraint system  $C = \langle V, c, D \rangle$  by a substitution  $s : V \rightarrow E_{I,W}$  is the triple  $s(C) = \langle W, s(c), s(D) \rangle$ .

It is easy to see that the reuse of a constraint system by a substitution yields a constraint system.

**Proposition 7.5.** Let  $I$  be an interface and  $\mu$  a model for  $I$  and  $s : V \rightarrow E_{I,W}$  is a substitution.

Substitution on constraint systems preserve meaning, i.e.,  $\llbracket s(C) \rrbracket = s(\llbracket C \rrbracket)$ .

If the constraint system  $C = \langle V, c, D \rangle$  is sound for  $\mu$ , then  $s(C)$  is also sound for  $\mu$ .

*Proof.* Meaning is preserved since  $\llbracket s(C) \rrbracket = \llbracket \langle W, s(c), s(D) \rangle \rrbracket = \&_{d \in s(D)} d = s(\&_{d \in D} d) = s(\llbracket \langle V, c, D \rangle \rrbracket) = s(\llbracket C \rrbracket)$ .

Preservation of soundness follows from the satisfaction condition for the institutions  $\mathcal{DF} \mathcal{C}_{I,\mu}$  and  $\mathcal{CON} \mathcal{S}_{I,\mu}$ . The former takes sound dataflow constraints to sound dataflow constraints using the substitution. The latter matches the conjunction of constraints from the dataflow constraint with the goal before and after the substitution, i.e.,  $s(\text{con}(\&_{d \in D} d)) \models_{I,V,\mu} s(c)$  since  $C$  is sound.  $\square$

It then follows that substitutions are a monoid action for the reuse of constraint systems. The monoid action also preserves soundness of the constraint systems. Completeness may be destroyed by this reuse, since, depending on the specific substitution, some methods in  $d \in D$  may have disappeared.

**Definition 7.6.** [Conjunction of constraint systems] Let  $I$  be an interface.

The conjunction of two constraint systems  $C_1 = \langle V, c_1, D_1 \rangle$  and  $C_2 = \langle V, c_2, D_2 \rangle$  is the triple  $C_1 \& C_2 = \langle V, c_1 \& c_2, D_1 \& D_2 \rangle$  where  $D_1 \& D_2 = \{d_1 \& d_2 \mid d_1 \in D_1, d_2 \in D_2\}$ .

It is easy to see that the conjunction of two constraint systems yields a constraint system: the conjunction of two dataflow constraints is a dataflow constraint, and the conjunction of two constraints is a constraint. The two constraint systems  $C_1$  and  $C_2$  are on the same set of variables  $V$ . If this is not the case, then each of them can be aligned by substitution from any local set of variables  $V_1$  and  $V_2$ , respectively, to a global set of variables  $V$  before the conjunction.

**Proposition 7.7.** Let  $I$  be an interface and  $\mu$  a model for  $I$ , and  $C_1 = \langle V, c_1, D_1 \rangle$  and  $C_2 = \langle V, c_2, D_2 \rangle$  be constraint systems.

Conjunction on constraint systems preserve meaning, i.e.,  $\llbracket C_1 \& C_2 \rrbracket = \llbracket C_1 \rrbracket \& \llbracket C_2 \rrbracket$ .

If the two constraint systems  $C_1$  and  $C_2$  are sound for  $\mu$ , then  $C_1 \& C_2$  is also sound for  $\mu$ .

*Proof.* Meaning is preserved since  $(\&_{d_1 \in D_1} d_1) \& (\&_{d_2 \in D_2} d_2) = \&_{d \in \{d_1 \& d_2 \mid d_1 \in D_1, d_2 \in D_2\}} d = D_1 \& D_2$ .

The conjunction of sound dataflow constraints on compatible variables is a sound dataflow constraint, and since each of the individual constraint systems is sound with respect to its individual goal, the conjunction of the goals is implied by  $\text{con}(\&_{d \in D_1 \& D_2} d)$ .  $\square$

It now follows that conjunction of constraint systems is associative and commutative, with CST as unit and CSF as zero. Completeness may be lost due to the interaction between methods when combining them.

**Definition 7.8.** [Disjunction of constraint systems] Let  $I$  be an interface.

The disjunction of two constraint systems  $C_1 = \langle V, c_1, D_1 \rangle$  and  $C_2 = \langle V, c_2, D_2 \rangle$  is  $C_1 \mid C_2 = \langle V, c_1 \mid c_2, D \rangle$ , where  $D = ((\&_{d \in D_1 \setminus D_2} d) \mid (\&_{d \in D_2 \setminus D_1} d)) \& (\&_{d \in D_1 \cap D_2} d)$ .

It is easy to see that the disjunction of two constraint systems yields a constraint system since all combinators yield the appropriate results. The two constraint systems  $C_1$  and  $C_2$  may be aligned to the same set of global variables  $V$  if necessary for the disjunction.

**Proposition 7.9.** Let  $I$  be an interface and  $\mu$  a model for  $I$ , and  $C_1 = \langle V, c_1, D_1 \rangle$  and  $C_2 = \langle V, c_2, D_2 \rangle$  be constraint systems.

Disjunction on constraint systems preserve meaning, i.e.,  $\llbracket C_1 \mid C_2 \rrbracket = \llbracket C_1 \rrbracket \mid \llbracket C_2 \rrbracket$ .

If the two constraint systems  $C_1$  and  $C_2$  are sound for  $\mu$ , then  $C_1 \mid C_2$  is also sound for  $\mu$ .

*Proof.* Preservation of meaning follows by expanding the definition of the disjunction, and then using distributivity of conjunction over disjunction for dataflow constraints. The meaning for the disjunction of dataflow constraints  $D$  maintains soundness from each of the components. Then we need to show that  $\text{con}(\mid_{d \in D} d) \models_{I, V, \mu} c_1 \mid c_2$ . Since

$$\begin{aligned} \&_{d \in D} d &= ((\&_{d \in D_1 \setminus D_2} d) \mid (\&_{d \in D_2 \setminus D_1} d)) \& (\&_{d \in D_1 \cap D_2} d) = \\ &((\&_{d \in D_1 \setminus D_2} d) \& (\&_{d \in D_1 \cap D_2} d)) \mid ((\&_{d \in D_2 \setminus D_1} d) \& (\&_{d \in D_1 \cap D_2} d)), \end{aligned}$$

the claim follows since

$$\begin{aligned} \text{con}((\&_{d \in D_1 \setminus D_2} d) \& (\&_{d \in D_1 \cap D_2} d)) &\models_{I, V, \mu} c_1 \text{ and} \\ \text{con}((\&_{d \in D_2 \setminus D_1} d) \& (\&_{d \in D_1 \cap D_2} d)) &\models_{I, V, \mu} c_2 \end{aligned}$$

by the assumption.  $\square$

It now follows that disjunction of constraint systems is associative and commutative with CSF as the unit, because  $((\&_{d \in D_1 \setminus \{\}} d) \mid (\&_{d \in \{\} \setminus D_1} d)) \& (\&_{d \in D_1 \cap \{\}} d) = ((\&_{d \in D_1} d)) \& (\&_{d \in \emptyset}) = D_1$ . We cannot get idempotency of disjunction since the conjunction combinator on the dataflow constraints is not idempotent. Completeness may be lost due to the interaction between methods when combining them.

**Example 7.10.** This is a constraint system version of example 5.1. When building the constraint system we first define the global set of variables and the goal,

$$\begin{aligned} V &= \{w_i : \mathbf{int}, w_a : \mathbf{int}, w_r : \mathbf{float}, h_i : \mathbf{int}, h_a : \mathbf{int}, h_r : \mathbf{float}\}, \\ c &= [w_i w_r] = w_a \ \& \ [h_i h_r] = h_a, \end{aligned}$$

then we find the relevant dataflow constraints and their mapping to the global set of variables, i.e., the dataflow constraint  $G$  and the substitutions  $s_w$  and  $s_h$  as in the example. The resulting constraint system is

$$\langle V, c, \{s_w(G), s_h(G)\} \rangle.$$

If we want to coordinate the aspect ratios for the width and the height, we can construct the constraint system  $\langle \{w_i : \mathbf{int}, w_a : \mathbf{int}, r : \mathbf{float}, h_i : \mathbf{int}, h_a : \mathbf{int}\}, [w_i r] = w_a \ \& \ [h_i r] = h_a, \{r_w(G), r_h(G)\} \rangle$ .

## 8. Summary and conclusion

In investigating multiway dataflow constraint systems as a programming language, we designed a module system with variable substitution (including renaming and name matching) as the basic reuse mechanism with conjunction and disjunction as combinators. We showed how to integrate a specification language with the programming language. In the presented system, neither the specification nor the programming language needs to be nailed down: what are the languages' built-in types and operations is defined by a base API, a fixed but arbitrary signature.

We fitted the formal model of dataflow constraints into the institution framework, using variables and substitutions as the signature category. This gave us both a solid footing for designing the module system, and a clear guidance for using global variables as the coordinating “signature” with substitution as a powerful reuse mechanism.

The specification and programming languages both operate in the same semantical domain, here on simple sets and set theoretic functions for the base signature. This semantic compatibility allows proving and testing the relationship between code (the constraint satisfaction methods of data flow constraints) and specifications (predicate expressions that define when dataflow constraints are satisfied). The presented approach thus establishes a firm validation approach for dataflow constraint systems.

The presented work is largely motivated by the widely recognised problem of programming user interfaces: the inability to reuse code that defines the behavior of user interfaces. We propose multiway dataflow constraint systems as the foundation for programming GUIs, in lieu of event handling programming. The examples in this paper show that the presented semantics can model concrete multiway dataflow constraint systems that arise in practical graphical user interfaces, and guide in their design and implementation.

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